Customs-Trade Partnership Against Terrorism - The Human Factor: Shaping Mindsets and Developing a Security Culture Amongst Staff

Submitted by: United States
CBP’s Mission….

Preventing the entry of terrorists and their weapons while facilitating legitimate trade and travel
It is a Challenging Task

- 1,900 miles of border with Mexico
- 5,000 miles of border with Canada
- 326 “ports of entry”—official entry or crossing points
- 142 Border Patrol stations

On a Typical Day….CBP Processes:

- 1,300,000 passengers and pedestrians
- 70,200 truck, rail and sea containers

Layered Enforcement Strategy

- Advance electronic information analysis and targeting of high risk shipments
  - The 24-Hour Rule and the National Targeting Center (NTC)
- Using advanced inspection technologies
  - Non-intrusive Inspection Technologies (NII)
- Pre-screening high-risk shipments prior to arrival in the U.S.
  - Container Security Initiative (CSI)
  - Secure Freight Initiative / 100% Scanning
- Partnering with the trade community to increase supply chain security
  - Customs-Trade Partnership Against Terrorism (C-TPAT)
**Safe Port Act**

Recognizes the critical role of CBP in securing our nation's border against terrorism by securing the supply chain and facilitating legitimate trade.

**SAFE Act Requires CBP:**

- Certify new applicants within 90 days
- Validate all partners within one year of certification
- Revalidations not less than once every four years
- Third party validation pilot program (China)

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**Customs-Trade Partnership Against Terrorism**

**C-TPAT**

- CBP and Industry leaders working together to enhance national security and facilitate legitimate cargo.

  - Strengthening the international supply chain through the exchange of ideas, knowledge, and “best practices”.

  - Guiding Principles: Enhanced supply chain security, partnership, and a voluntary program.
Security Criteria and the Human Factor

Business Partner Requirements
- Documentation that they are a C-TPAT member.
- Non-C-TPAT member, written or electronic confirmation meeting C-TPAT security criteria.

Container Security
- Written sealing procedures (PAS ISO 17712 seal).
- Seven-point inspection of container prior to loading / upon receipt.
- Container Storage - secure locations, preventing unauthorized entry into container or storage area.

Physical Access Controls
- Visitor procedures (including deliveries and mail) – Logbooks, visitor badges, escorted access.
- Employment Procedures – Identification system.

Personnel Security
- Procedures must be in place to screen prospective employees and to periodically check current personnel (Background, employment history).
- Personnel termination procedures must be in place to remove access.

Procedural Security
- Document processing – accurate, complete & safeguarded.
- Manifesting procedures – accurate and timely.

Security Training and Awareness
- A threat and security awareness program should be established for all employees.
- Employees must be made aware of the procedures the company has in place to address a situation and how to report it.
Security Criteria and the Human Factor

Physical Security
- Cargo handling and storage facilities must have physical barriers that guard against unauthorized access.

Information Technology Security
- Anti-virus software/Firewalls.
- IT security policies, procedures and standards must be in place and provided to employees in the form of training.

C-TPAT Strategic Plan

Strategic Goal Number 3
Internationalize the core principles of C-TPAT through cooperation and coordination with the international community.
Capacity Building / Seminars / Training

- Conferences and Seminars
- Training: Capacity Building
- Feedback to Membership

APEC Member Economies Validation Visits

Australia - 19
Brunei Darussalam - 0
Canada – 2,729
Chile - 26
China - 44
Hong Kong, China - 114
Indonesia - 108
Japan - 281
Korea - 70
Malaysia - 146

Mexico – 1,442
New Zealand - 47
Papua New Guinea - 0
Peru - 18
Philippines - 141
Russia - 2
Singapore - 76
Chinese Taipei - 164
Thailand - 217
Viet Nam - 96
8,527 Certified Partners to current date:
  ▪ Certified Partners at the end of 2007 - 7,948
  ▪ New Certified Partners in 2008 - 579

7 Field Offices; Current staffing level is 195

8,519 Total Validations Completed
  ▪ Initial Validations Completed - 7,234
  ▪ Re-Validations Completed - 1,285

368 Total suspensions (199 Highway Carriers)
225 Total removals (91 Highway Carriers)

Internationalization Efforts:
  ▪ Mutual Recognition Arrangement: New Zealand, Canada, Jordan
  ▪ Mutual Recognition Projects: Australia, European Union, Japan, Singapore
  ▪ Technical Assistance Projects: Malaysia, Mexico, Philippines
  ▪ Capacity Building Training: (Senior Management Overview of Industry Partnerships):
    Ghana, Brazil, Kenya