
ASIA-PACIFIC ECONOMIC COOPERATION 2014
3rd OCEAN AND FISHERIES WORKING GROUP MEETING

Qingdao, China

May 9-12, 2014

Fifteen APEC Economies attended: Chile; People's Republic of China; Hong Kong, China; Indonesia; Japan; Republic of Korea; Malaysia, Papua New Guinea; Peru, the Philippines, the Russian Federation; Chinese Taipei; Thailand; the United States; and Viet Nam. Non-member participants included the Nature Conservancy (TNC) and the American Chemistry Council; and APEC observers included representatives from the APEC Business Advisory Council (ABAC) and the Policy Partnership for Food Security (PPFS), the APEC China Vice Senior Official. A representative of the APEC Secretariat Communications Unit also attended. The meeting was chaired by the OFWG Lead Shepherd (LS) Mr. Greg Schneider of the United States. The OFWG Deputy Lead Shepherd from Indonesia, Anang Noegroho also participated. An Executive Summary of the meeting is attached as **Appendix A**.

Day 1: 9 May 2014

Session I. Opening Session

- A. Welcome and Opening Remarks: Mr. Greg Schneider, the Lead Shepherd (LS) of the working group, welcomed OFWG economies to Qingdao, China.
- B. Official Opening of the 3rd Oceans and Fisheries Working Group (OFWG) Meeting: Dr. Zhang Haiwen, Director General of the Department of International Cooperation, State Oceanic Administration of China, made the opening remarks and welcomed economies to Qingdao for the 3rd meeting of the OFWG. In her remarks, Dr. Zhang presented China's intention to host the 4th APEC Oceans-related Ministerial Meeting (AOMM4), and called on the OFWG meeting to have a fruitful discussion and lay the solid foundation for AOMM4 and future cooperation on ocean and fisheries related issues. She summarized the Preparatory Meeting for the AOMM4 and the Symposium on the APEC Marine Sustainable Development Report, held on March 18, 2014 in Xiamen, China.
- C. Remarks on APEC Priorities for 2014: Mr. Xia Jingge, China's Vice APEC Senior Official, gave a brief overview of the three priority themes for China's 2014 host year.
- D. Appointment of rapporteur: Rebecca Dorsey of the United States volunteered to serve as rapporteur.
- E. Introduction of Delegation Heads: The LS invited all heads of delegates to introduce themselves and their delegations.
- F. Formation of the committee to assist with drafting of the Summary Report: China, Japan, the Philippines, Chinese Taipei, and the United States volunteered to serve on a drafting

committee for development of the meeting summary report. The United States coordinated the drafting committee.

- G. Adoption of Agenda: The LS invited comments on the draft OFWG meeting Agenda. Comments and suggested revisions were offered by China, Indonesia and Japan. The revised agenda was updated and accepted. A copy of the final agenda is included as **Attachment B**.

Session II. Developments in APEC

- A. Summary of APEC Leaders meeting 2013: Indonesia reported on the APEC leaders meeting from 2013, reviewing the three priority topics (Supporting the Multilateral Trading System and Attaining the Bogor Goals, Promoting Connectivity and Sustainable Growth with Equity) and associated activities and initiatives. Indonesia summarized the priorities to advance study of products for sustainable development and poverty alleviation; environmental goods and services; mainstreaming ocean issues; connectivity; and infrastructure development. Indonesia also reported on the 2013 Mainstreaming Oceans-related Issues Work Plan, to which the OFWG can actively contribute.
- B. Overview of intersessionally endorsed actions and documents (OFWG LS): The LS reviewed intersessional actions completed, including progress on the APEC Marine Sustainable Development Report and AOMM4 preparations; noted the upcoming update on the Mainstreaming Oceans-related Issues Initiative from Indonesia; noted the 2014 Work Plan was developed and would be discussed for endorsement; noted that the OFWG Food Security Action Plan draft is in progress and would be discussed; and noted that OFWG priorities had been provided to the ISOM. The LS also highlighted that two OFWG concept notes, "Preparedness, Response and Assessment of Oil Spill (PRAOS) in the APEC Region, Phase I", sponsored by Korea; and the "Workshop on the Climate Change's Impact on the Oceans and Fisheries Resources - Ensuring Adaptation, Food Security and Sustainability, and Mitigation on Small-Scale and Artisanal Fisheries including Aquaculture", sponsored by Japan, were approved in the first round of funding. The LS thanked China for including ocean issues in Rank 1 of the 2014 APEC project funding criteria, helping to secure project funding for OFWG projects. The LS expressed concerns about the ability of the OFWG to work intersessionally via e-mail and suggested that the group discuss possible ways to enhance intersessional work, as well as committee workings and the possibility of convening additional OFWG meetings each year.
- C. Brief report on the Preparatory Meeting of 4th AOMM and Symposium on APEC Marine Sustainable Development Report held on March 18, 2014. (China): Earlier information presented by Dr. Zhang during Session I.B covered this item.
- D. APEC Secretariat Report on Relevant Developments in APEC (APEC Secretariat Program Director): The Secretariat summarized the relevant developments that had occurred within APEC and highlighted the new policy and procedures guidelines for hosting meetings agreed to by economies. The Secretariat also highlighted a new software tool to allow digital videoconferencing through distance presentations and participation. This virtual meeting

software is not to replace in person meetings, but to allow delegations not able to attend meetings in person to participate. Approved in February, the OFWG may consider using this tool, which was demonstrated by the Secretariat. The United States recommended planning a “practice” meeting using this software to discuss OFWG concept note submissions prior to the July deadline for submission of Round 2 APEC project funding cycle, and also utilizing the tool for planning related to the AOMM4. The LS also encouraged considering using this tool for increasing intersessional work. (*Doc 17 & 18*)

Session III. Information sharing

- A. Delegates from several economies provided brief reports on key ocean and fisheries issues relevant to their economies, and on recent progress made on issues relevant to the OFWG. Members reported on progress made in areas of sustainable fisheries and aquaculture, marine conservation, marine disaster risk reduction, food security and safety, marine science technology and cooperation, domestic legislation, and international cooperation. Some economies also provided written Economy Reports to the Secretariat. (*Hong Kong, China, Doc. 9; Japan, Doc. 6 & 7, Chinese Taipei, Doc. 15, Indonesia, Doc. 26*)
- B. Report on the Fifth Round of Informal Consultation on Eel Conservation and Information Exchange (Japan): Japan provided a report updating economies on the conservation and management of the Japanese Eel and other relevant eel species. (*Doc. 24 A & B*). The LS recognized the trade aspects of the project and the expectation for more focus on the links between management and trade in the future. The LS encourages other projects to similarly reflect APEC goals in the future. The U.S. commented that trade in the Pacific has impacts in the Atlantic with respect to the glass eel industry, and that the U.S. would consider joining the efforts of the group on this issue.

Session IV. Strategic Priority Areas

- A. OFWG Operations and Capacity Building
 - 1. Strategic Plan and Work Plan
 - a. Review of progress on the OFWG Strategic Plan (Drafting Team Leader – U.S.): The Strategic Plan was adopted by the OFWG, and acknowledged by the Secretariat as an example of an effective APEC plan. The consultant comments on the old plan were used to develop the revised plan, and in the last year another round of consultant review occurred and the consultants provided additional comments to the revised plan.
 - b. Review of consultant comments on OFWG Strategic Plan (Secretariat): The SCE asked consultants to provide additional comments on each working groups’ strategic plan including the OFWG. Though the OFWG’s strategic plan was held up as a model plan, the consultants provided comments. The OFWG agreed that these consultant, these comments, along with forthcoming information such as results from the AOMM4, will be considered when revising the OFWG Strategic Plan in 2015.

The OFWG will consider comments when it rewrites the Strategic Plan. (*Doc. 2 and 3*)

- c. Discussion of Progress on implementing the OFWG 2014 Annual Work Plan (OFWG LS): The LS noted that disputed text in the work plan has been resolved bilaterally and was endorsed. (*Doc. 11*) The United States suggested an edit to the table contained in the 2014 Work Plan to revert the language “Note to the APEC Blue Economy Model Program” to “Implement Phase I of the Blue Economy Initiative.”

Japan noted that during discussions using the term Blue Economy, there was acknowledgement that the term Blue Economy needs a common understanding within the OFWG. As such, China, Japan and U.S. suggested a common understanding. There was discussion throughout the meeting on the general concept of Blue Economy and the following phrase was endorsed: “For the purposes of APEC, the APEC Ocean and Fisheries Working Group views Blue Economy as an approach to advance sustainable management and conservation of ocean and coastal resources and ecosystems and sustainable development, in order to foster economic growth.” This is not considered a definition, but rather a shared view of the term’s meaning within the OFWG. This language could lay the foundation for future discussions and elaboration in the OFWG. In addition, such language could be elevated through Ministerial and Leaders’ Statements. Before proceeding with efforts to elevate such language, it would be necessary to present the OFWG-agreed statement to their Capitals.

- d. Discussion of development of the 2015 OFWG Annual Work Plan (OFWG LS): The LS stated that it is too early to begin developing the 2015 Work Plan, but that it would be developed prior to the ISOM for the 2015 APEC year.

2. OFWG Terms of Reference:

- a. Overview of Clarifications needed of OFWG Terms of Reference (LS): Changes were proposed clarifications and revisions to the OFWG Terms of Reference to increase functionality and efficiency of the OFWG and bring it into greater alignment with other APEC groups. The Deputy Lead Shepherd offered support for steps to clarify current practices and streamline the structure and leadership of OFWG operations. (*Doc. 4 and 14*)
- b. Presentation by APEC Secretariat on Standards and Norms for Terms of Reference across APEC Sub-for a (APEC Sect): The Secretariat gave a presentation overviewing the general APEC practices for endorsement, and leadership terms and duties. He was available to answer questions during the discussion of the changes.
- c. Open Discussion: Terms of Reference were endorsed. Changes focused on the “Methods of Work” project endorsement section; the “Structure” on the Selection and Term of the Lead Shepherd (2.i.) and the Duties (2.ii.); and to the Working Group Meetings practices (4). The Terms of Reference will be forwarded to SCE and approved intersessionally, with a note that the current Lead Shepherd and Deputy

Lead Shepherd will remain in their positions until the end of the 2014 APEC year. Nominations will be solicited intersessionally after AOMM4 for the 2015/2016 Lead Shepherd term, and a new Lead Shepherd will be selected prior to the end of the 2014 APEC year.

3. Discussion/Clarification of steps to select next OFWG Deputy LS (LS and DLS): This topic was discussed in the context of agenda item Session IV A.2.a.
4. APEC-Funded Projects and Timelines and Funding Criteria for 2014 (APEC Sect.) The Secretariat acknowledged the two successfully funded 2014 OFWG concept notes, and gave a presentation updating the group on overall Project Management and the number and type of projects funded by APEC. The process of project funding was discussed, and the Secretariat noted that currently approved projects finish their project cycle in December 2015. China emphasized that it was challenging to incorporate ocean-related issues into the 2014 Rank 1 Funding Criteria and encouraged member economies to consider submitting more proposals to demonstrate the value and relevance of including ocean-related issues in Rank 1. (*Doc 12*)

Day 1 Closing Remarks

Day 2: 10 May 2014

Session IV. Strategic Priority Areas – Continued

A. OFWG Operations and Capacity Building – Continued

5. LS presentation on enhancing strategic planning, coordination of the development of OFWG projects, aligning OFWG work with APEC priorities, and OFWG engagement in the Mainstreaming Ocean-Related Issues Initiative (OFWG LS): The LS reminded the group of the goals of the OFWG and encouraged efforts to pursue opportunities to work with other working groups and partners outside of APEC.
6. Presentation by APEC Secretariat summarizing the ocean and fisheries related projects and work that has been done in 2013 and/or is proposed for 2014 by other working groups that could represent opportunities for cross-group collaboration on projects. (APEC Secretariat): The Secretariat encouraged the group to pursue and coordinate projects with other groups, especially given that oceans-related issues are included in the Rank 1 Funding Criteria. The Secretariat listed the following projects that the OFWG could approach respective sponsoring groups to participate in: Conference on Enhancing Global Value Chains in Agriculture and Food Security in Asia-Pacific (ATC); and Stimulating Rural Development (PPFS). In addition, the following APEC projects received funding for Round 1 of the 2014 APEC project cycle and have general relevance to, but do not have cooperation with, the OFWG: APEC Peer Review on Low Carbon Energy Policies (PRLCE), Phase 3 (EWG); APEC Public-Private Dialogue on Addressing Impediments in Financing Renewable Energy (EWG); and International Ship and Port Facility Security (ISPS), Code Implementation Assistance Program (TPT).

Indonesia gave an update on the Mainstreaming Ocean-related Issues Initiative, currently under consideration for approval by the SCE, for the establishment of a Steering Committee to coordinate implementation of the Mainstreaming Ocean-related Issues Initiative. The Steering Committee would initially identify six working groups for cooperation: Oceans and Fisheries, Transportation, Energy, Transportation, Standards Conformance and the PPFS. Indonesia anticipates seeking endorsement of the proposal in advance of SOM3. Some economies suggested OFWG should engage in the discussion of this initiative.

The Secretariat also provided clarification on how two or more working groups could work together: The first working group would draft a concept note and ask the Secretariat to circulate the proposal to the second group with the intent of fostering cooperation; there is generally a lead group that initially drafts a concept note, and this lead group coordinates work among the two or more working groups.

7. Open discussion on potential new project proposals (OFWG LS)
 - a. Future Project Proposals by Economies for Round 2 of 2014 APEC Project Funding and Beyond: The United States presented a draft concept note for a project on “Coastal Ecosystem Valuation” in the APEC region. The United States asked economies to provide feedback and comments and invited interested economies to co-sponsoring the draft concept note, with the intent of further refining and strengthening the proposal. (*Doc 29*). China expressed interest in the proposal and indicated that they would consider co-sponsoring the concept note. Indonesia, Peru, the Philippines, Thailand, Chinese Taipei, Malaysia, and Russia find the project interesting and would like to contribute or support the project. Papua New Guinea also supported the concept note and offered themselves as a case study for the project.
 - b. The United States also proposed a project idea focused on small scale sector fisheries using the FAO’s study on Value Chain Dynamics and the Small Scale Fisheries Sector report and policy recommendations, and asked economies to consider engaging in the development of a concept note.
 - c. Applying earlier discussions on improving coordination amongst economies and across working groups: This item was discussed in the context of Session IV A.8.
8. Beyond Projects - Identifying other Tangible, Actionable Opportunities to Advance and Elevate OFWG Priorities within APEC – Private Sector Engagement and other groups (OFWG LS): The group discussed ways to involve the private sector in OFWG initiatives in a time of scarce resources and to leverage the growing interest in Ocean issues. The Mainstreaming Oceans-related issues Initiative was highlighted as a way to help the OFWG identify inter-working group partnerships, as well as elevating issues and priorities into Ministerial and Leaders’ Statements and thinking creatively about other methods to coordinate among APEC groups and beyond the OFWG. China reiterated that private sector engagement is important and should be highlighted in the future,

suggesting this could be done through the forthcoming 3rd APEC Blue Economy Forum, which may act as a platform to encourage private sectors engagement. Chinese Taipei noted its presentation on the third day about its ongoing self-funded project to facilitate a private sector roundtable. PPFS encouraged the OFWG to reach out to the private sector by sending representatives to private sector events and conferences, such as the Global Aquaculture Alliance, which will meet in September in Viet Nam, and which would be interested to have APEC OFWG or Food Security representatives present.

The Secretariat provided clarification on non-APEC member engagement: There is no procedure to engage with ABAC representatives, since it is an APEC member. To engage with a non-APEC member private sector entity in an APEC capacity, one must go through the non-member procedure, and the SOM would endorse the work. In addition the Secretariat noted that they have some responsibility to help identify cross-cutting issues and coordinate these issues between groups.

9. Remarks by Representative of APEC Business Advisory Council (ABAC) on ABAC activities in 2013/2014: (This presentation was skipped)
10. Presentations by Private Sector Representatives on Efforts Relevant to the OFWG that are ongoing in Other APEC Working Groups
 - a. Plastic Marine Pollution Project Proposal through the Chemical Dialogue Group (Steve Russell, Vice President, American Chemistry Council): Steve Russell gave a presentation on (*Doc 22*). The Chemical Dialogue will be working intersessionally on its projects and will meet at SOM3 in August. Mr. Russell offered to provide descriptions of the ongoing projects that might appeal to a variety of economies upon request. The U.S. pointed out that there are no working groups in APEC working on marine debris specifically, particularly land based sources, and suggested that the OFWG consider supporting this work in coordination with the Chemical Dialogue. Mr. Russell also clarified that marine debris can impact fisheries, tourism, and shipping and that there are economy level solutions that have multilateral benefit. China agreed that marine pollution is an appropriate topic to be addressed by the OFWG and other APEC for a, and the LS suggested that the Chemical Dialogue and OFWG initiate a dialogue in the upcoming months. The U.S recommended that the OFWG reach out to the Chemical Dialogue to begin an informal joint discussion, perhaps through the form of a joint virtual group.
 - b. Report of OFWG participation in the Friends of the APEC Policy Partnership on Food Security (PPFS) Working Group 2 on Agriculture and Fisheries (OFWG Representatives to the Friends of PPFS Working Group 2 on Agriculture and Fisheries): This item was discussed as a part of Session IV A.10.b.
 - c. Remarks by invited representative of the PPFS on the work of the PPFS in 2013/2014, including a Presentation on the progress of/endorsement of the APEC Food Security Action Plan (PPFS Representative – Jacqui Dixon, APEC Policy

Partnership for Food Security Working Group 2 Co-Chair): Jacui Dixon made a presentation on the Food Security Action Plan (*Doc. 21*). Ms. Dixon noted in her presentation that intersessional work between the OFWG and PPFS has been difficult. She also presented on specific PPFS self-funded project that the OFWG would be requested to endorse and asked for guidance on the path forward. The United States recommended the following actions to address the issues presented by PPFS: that the OFWG have one point of contact to liaise between the two groups; that host economies work to facilitate that the PPFS and OFWG meetings being held during the same SOM, on different days; that the endorsement process for OFWG review of PPFS projects be the APEC standard process; and that the PPFS Roadmap and Working Group 2 Work Plan be circulated to the OFWG. Indonesia shared information on its Inland Fisheries Center that will be open next.

11. Discussion between OFWG economies on how to coordinate increased engagement by the private sector on OFWG priority issues, including across relevant working groups, and also including how the private sector could support APEC oceans and fisheries related activities. (OFWG LS): U.S. and APEC Secretariat put together a survey to encourage thoughts about private sector engagement but no results were submitted before the OFWG. The deadline will be extended one month, to June 10th. The LS encouraged economies to provide any response or thoughts in the survey, even if you cannot complete the entire survey.

Day 2: Closing remarks

Technical Tour to the First Institute of Oceanography, State Oceanic Administration (SOA), and the Ocean University of China: The OFWG attendants were invited to participate in a field trip to the First Institute of Oceanography (FIO), SOA and the Ocean University of China (OUC) to learn about the research and education surrounding oceans in China.

Day 3: 11 May 2014

Session IV - Strategic Priority Areas - Continued

B. Advance Sustainable Development and the Protection of the Marine Environment

1. Report on outcomes of the self-funded project “14th APEC Roundtable Meeting on the Involvement of the Business/Private Sector in the Sustainability of the Marine Environment” (Chinese Taipei): Economies expressed appreciation to Chinese Taipei for hosting the meeting. Malaysia noted that they are about to finalize their National Plan of Action (NPOA) for Sharks and the output to this meeting may be useful. Chinese Taipei will distribute the results of the workshop. Chinese Taipei offered to conduct a self-funded project to host the 16th APEC roundtable meeting “16th APEC Roundtable Meeting on the Involvement of the Business/Private Sector in the Sustainability of the Marine Environment”, which was endorsed by the working group.
2. Report on progress of implementation of APEC-funded project OFWG 01/2013 “Marine Ecosystem Assessment and Management in the Asia-Pacific Region, Phase IV” (United

States): The U.S. reported on the workshop held in August 2013 and thanked Korea for hosting the meeting. The report is available online and that the results of this note will be applicable to future notes. Viet Nam asked if there were issues with the fisheries management but US will put in touch.

3. Report on progress on the “APEC Marine Sustainable Development Report” (China): China presented on the “APEC Marine Sustainable Development Report”, and the group endorsed Part 1 of the report (*Doc 19, 27 & 28*). LS commended the group for its ability to work together and have an open and transparent process. US suggested China look for opportunities to raise this in other APEC Sub- fora, the Food Security Ministerial and Leader’s Statements, etc., and asked for support from the APEC Secretariat. In addition, the LS suggested China consider a consultant or editor to work through the grammar and readability of the report. There was agreement that the APEC Marine Sustainable Development Report core expert group could continue to manage report submissions and ensure the document continues to be updated and identify possibilities for Report to be broadly distributed. PNG has expressed willingness to submit an economy report.

A communications representative from the Secretariat shared information regarding utilization of social media, and the facilitation of information dissemination outside of the group in the lead up to and AOMM4.

4. Report on the Advanced Training on Marine Spatial Training for Asia-Pacific Rim (China): China gave a review of the training in Xiamen, 11-16 September, 2013, which covered the process, implementation and shared best practices of marine spatial planning. China proposed holding a third workshop on Coastal and Ocean Management – Marine Spatial Planning in a broad view, US showed its appreciation to the capacity building activity and will continue to support and co-organize this training
5. Report on Progress of APEC Ocean and Fisheries Information Center (AOFIC) (Indonesia): Indonesia gave an update on the Institute for Marine Research and XXX in Perancak, Bali, Indonesia, which is 80% complete and will collect data from around the region.
6. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan: This item was skipped due to time.

C. Strengthen Food Security

1. Report on the self-funded project OFWG 01/2013S “Workshop on Fisheries and their Contribution to Sustainable Development in APEC Economies: Small-Scale and Artisanal Fisheries to Support Food Security” (Indonesia): Indonesia provided an update on the project that they will hold on 30 September to 2 October 2013 in Jakarta, Indonesia. The US showed interest in working with Indonesia in the future on this workshop, as it ties to a concept note idea.

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2. Update on the Pathfinder Interim project on Enhancement of Partnership of APEC Economies on Combating IUU Fishing and Associated Trade by Undertaking Voluntary Obligations on Nonproliferation Flags of Convenience Practices (Russia): Russia gave a report on the Pathfinder Initiative on IUU fishing. In that report, Russia stated that the U.S. and Indonesia have agreed to co-sponsor Russia's project. Russia still considers this project a pathfinder and will distribute a copy of the report for the OFWG record. Indonesia highlighted that under reporting and non-reporting are a large part of IUU and confirmed its position as co-sponsor of this project. US also confirmed its co-sponsorship. China offered their co-sponsorship of the project. Republic of Korea and Japan offered support of Russia's project. The Republic of Korea offered information sharing and Russia highlighted the success of a 2 year old bilateral agreement between ROK and Russia.
 3. Presentation on the progress of the development of OFWG Food Security Action Plan (Chair of Drafting Team-- USA): The United States distributed a draft, and asked for volunteers to participate in a drafting committee and expressed aspirations to complete prior to AOMM4 or the end of the APEC year. The US reminded the group that the Action Plan is a living document open to changes and future updating. The U.S. indicated that existing projects by Japan and Indonesia would be incorporated into the time table of the OFWG Food Security Action Plan. In addition, there is a PPFS road map that touches on fisheries that will be distributed in the near future and could be a point of cooperation. Some economies enquired if it is appropriate to include ocean acidification and climate change in the Action Plan. The US suggested that the OFWG consider a joint action plan with PPFS. Russia volunteered to aid intersessionally and offered comments during the meeting. (*Doc. 30*).
 4. Presentation on Ocean Governance to Support Food Security (Indonesia): Indonesia gave a presentation on the role of fisheries in food security and the impacts on poverty, and the changing roles of capture fisheries and aquaculture. Proposed the Aquaculture Business Development Based on Blue Economy business model for West Nusa Tenggara which is slated to be developed from 2014 to 2018. Chinese Taipei commends Indonesia for the clear idea of Blue Economy presented in this important case study. The US recommends that this be considered for China's 3rd APEC Blue Economy Forum.
 5. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan: No comments beyond LS summary after lunch break.

D. Promote Free and Open Trade and Investment

1. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan: The LS noted that this priority area should be kept in mind in the development of future projects. The LS encouraged the OFWG to think creatively on this pillar of OFWG work, targeting new issues and areas such as labeling, market based actions, etc.

E. Enhance Understanding of the Impacts of Climate Change

1. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan: Japan introduced a new APEC Operational funded project: Workshop on the Climate Change Impact on the Ocean and Fisheries Resources: Ensuring the Adaptation, Food Security and Sustainability, and Mitigation on Small-Scale and Artisanal Fisheries including Aquaculture and seeks advice and assistance in arranging lectures and participants. China and the U.S. shared support for the project. Viet Nam reiterated that it is a co-sponsor and is very supportive.

F. Presentation on “Preserving healthy oceans and developing sustainable fisheries” (Bob Tansey, The Nature Conservancy China Program, Senior Policy Advisor): Mr. Tansey gave a presentation on creating sustainable fisheries, highlighting best practices and showed a desire to have a conversation on a Responsible and Resilient APEC Marine Trade Program. TNC does a number of projects in the region, participates in the Coral Triangle Initiative and the Micronesia Challenge, helping to design Marine Protected Areas that protects both fisheries and takes into account other activities going on (e.g. Sea bed mining, shipping, etc.). There was discussion on the role of good data, determining management techniques, developing management plans and finding opportunities to work together. (*Doc 20*).

G. Report on APEC Self-funded project of Blue Economy Model Program (Phase I) and Proposal of 3rd APEC Blue Economy Forum (China): China gave a presentation on the 3rd APEC Blue Economy Forum planned for August 2014. There was discussion over the presentation of the outcomes of the Forum to the Ministers for their consideration in advance of AOMM4. The outcomes could be presented as information only to Ministers without endorsement by OFWG.

H. Presentation on Policy Framework of Achieving Sustainable Marine and Fisheries Development Based on the Principles of the Concept of Blue Economy (Indonesia): This presentation was briefly described by Indonesia and it was suggested that economies contact Indonesia for additional information.

Day 3: Closing remarks

Day 4: 12 May 2014

Session V. AOMM4 Planning Session (China)

- A. Presentation and round table discussion of Desired Outcomes of AOMM4 Priorities (China/Lead Shepherd): China gave a presentation on each of its four priority areas for AOMM4: Coastal & Marine Ecosystem Conservation and Disaster Resilience; The Role of Oceans on Food Security and Food-Related Trade; Marine Science and Technology and Innovation; and Blue Economy.
- B. General discussion: There was discussion of these topics and economies shared ideas and suggestions to China. Suggestions included reframing and phrasing to more accurately reflect both the OFWG work and to make clear links to the APEC goals and priorities,

aligning the AOMM4 with the Food Security Ministerial that will also be held this year, using this as an opportunity to continue engaging with the private sector. The U.S. also suggested that China consider using Blue Economy as an umbrella for the first three topics proposed for the Ministerial, and suggested consideration of the U.S.-suggested area of cooperation on Multilateral Trading Systems and transparency in fisheries assistance programs into the sustainable fisheries area for cooperation. The U.S. suggested that PPSTI should be engaged in the consideration of AOMM4 proposals for cooperation on science and technology and innovation; the RMFOs should be engaged and possibly invited to attend the AOMM4 and that information related to RMFOs should be shared between relevant APEC fora and RMFOs; that China should consider inviting the Steering Committee for the Mainstreaming Oceans-related Issues Initiative; and generally, China should share the draft AOMM4 declaration for review and consideration by all relevant APEC sub fora.

- C. Presentation of plans and timeline for the preparation of AOMM4 Declaration (China): China will host the Ministerial on August 27 & 28 in Xiamen, China. The 27th will focus on the Senior Officials Meeting and final negotiations of the text of the Ministerial Statement, and the 28th will be the meeting of the Ministers. China will solicit comments in two, potentially three, rounds: the first in mid-June, with one month to respond; the second at the end of July, with 2 weeks to respond; and the option to hold a third prior to the in person negotiations at AOMM4. China will distribute an Administrative Circular in early July to all economies. In addition, China will hold the Blue Economy Forum on August 25th, which economies can attend and negotiation on the margins of that meeting.

Session VI. Looking Ahead

- A. Date and Venue for the 4th OFWG Meeting (OFWG Lead Shepherd): The 4th OFWG Meeting will be held tentatively during SOM1 during the Philippines 2015 host year. The Philippines presented on their host year. There will be a High Level Policy Dialogue on Food Security and Blue Economy, and the lead group for which will be the OFWG. The High Level Policy Dialogue will be held after SOM3, with a planning meeting, or potentially a 2nd OFWG meeting, to be held in September in advance of the Dialogue. Further changes will be circulated by e-mail.
- B. Development of list of intersessional work and potential agenda topics for the 4th OFWG Meeting 2015 (OFWG Lead Shepherd):
- C. Presentation of draft 2015 OFWG Work Plan (OFWG Lead Shepherd)

Session VII. Summary Report of the 3rd OFWG Meeting

- A. Discussion of Summary Report, including list of endorsed actions and agreed intersessional work (Drafting Committee)
1. Summary Report of the 3rd OFWG Meeting: The Lead Shepherd proposed that the OFWG do as last year and include in the summary report for the meeting a list of agreed/endorsed items and intersessional work.

Session VIII. Closing Session

- A. Classification of Documents (APEC Secretariat)
- B. Logistical Information shared by the Host (China)
- C. Closing Remarks by [Chinese official] (China) - TBC
- D. Closing Remarks by OFWG Lead Shepherd (OFWG Lead Shepherd)

ATTACHMENT A – OFWG SCE FORA REPORT

Executive Summary

Summary

The 3rd APEC Ocean and Fisheries Working Group (OFWG) met in Qingdao, China in May of 2014. In addition to member economies, the meeting included representatives from the private sector and the Nature Conservancy. Discussions were organized around the four pillars of the OFWG Strategic Plan: Sustainable Development and Protection of the Marine Environment, Strengthening Food Security, Promoting Free and Open Trade and Investment, and Enhance Understanding of the Impacts of Climate Change. Additional discussions included: how to improve internal OFWG operations and elevate the group's work in the broader APEC context; presentations on preserving healthy oceans and developing sustainable fisheries, ideas on how to further examine and create a common understanding of the concept of "blue economy" within APEC; and China's preparations for the 4th APEC Oceans-related Ministerial Meeting (AOMM4) in Xiamen, China 2014.

Recommendations

The group highlighted the need to engage the private sector, mainstream ocean issues in APEC to better address the cross-cutting nature of these topics, and raise awareness of the key role ocean and fisheries resources play in supporting robust economies. The group encouraged itself to work intersessionally via e-mail and suggested the possibilities of additional meetings each year. To facilitate this, the Secretariat highlighted a new software to allow participation from afar through a distance presentations and participation. In addition, the Secretariat encouraged the group to pursue projects that link with other groups and share its current and potential projects with other groups, especially given that the OFWG is in the Rank 1 Funding Criteria. The group recommended further cooperation with PPFS and to help facilitate future cooperation between the two groups, recommended that host economies work to ensure that the PPFS and OFWG meeting be held at the same SOM, but on different days. The group also recommended that member economies keep in mind the Free & Open Trade Priority Area in the development of future projects, and that this priority area be creatively targeted, looking at new issues and areas such as labeling, market state rules and regulations, etc.

Endorsed/Agreed During Qingdao OFWG Meeting May 9-12, 2014:

1. The OFWG will consider the consultant's comments in "OFWG Strategic Plan 2013-15 – Discussion Points" (2014/OFWG/SOM2/003) when it rewrites the Strategic Plan in 2015.
2. The OFWG endorsed the 2014 Work Plan (/011).
3. The OFWG agreed to the following text regarding Blue Economy: "For the purposes of APEC, the APEC Ocean and Fisheries Working Group views Blue Economy as an approach to advance sustainable management and conservation of ocean and coastal resources and ecosystems and sustainable development, in order to foster economic growth."
4. The OFWG endorsed the changes to the Terms of Reference (/037) and agreed that the Lead Shepherd will send a note to SCE indicating the retention of the current Lead Shepherd and Deputy Lead Shepherd through the end of the 2014 APEC year.

5. The OFWG endorsed Part 1 of the APEC Marine Sustainable Development Report (/027). Part 2 is not subject to endorsement by the working group, and economies will submit Part 2 Economy Reports on a voluntary basis.
6. The OFWG agreed to identify an OFWG Point of Contact to the PPFS.

Proposed Intersessional Actions:

1. The OFWG will consider ways to practice using the remote participation system as presented by the Secretariat.
2. The OFWG will draft and adopt the 2015 Annual Work Plan prior to SOM1.
3. OFWG member economies will provide input and comments to the U.S. on the Coastal Ecosystem Valuation Concept Note.
4. The OFWG will initiate an informal dialogue with the Chemical Dialogue regarding marine debris activities.
5. The OFWG will engage with PPFS and consider endorsement of self-funded PPFS projects.
6. OFWG member economies will provide Japan with advice and assistance in arranging lectures and participants on the Workshop on the Climate Change Impact on the Ocean and Fisheries Resources: Ensuring the Adaptation, Food Security and Sustainability, and Mitigation on Small-Scale and Artisanal Fisheries including Aquaculture.
7. OFWG member economies will consider opportunities to work with non-APEC member organizations, including The Nature Conservancy.
8. The APEC Marine Sustainable Development Report core expert group will continue to manage incoming Part 2 Economy Report submissions, ensure the document continues to be updated, and identify possibilities for the Report to be broadly distributed.
9. Indonesia will provide an update to the OFWG on the status and progress of the Mainstreaming Oceans Initiative, and the recommendation made to SCE.
10. The OFWG will select a new Lead Shepherd to assume the position at the next ISOM.
11. OFWG member economies will complete the survey to encourage thoughts about private sector engagement by June 10th. The LS encouraged economies to provide any response or thoughts in the survey, even if you cannot complete the entire survey.
12. OFWG member economies will engage in preparations for, participate in, and make every endeavor for successful outcomes of AOMM4.

(Endorsed)

Draft Agenda

**ASIA-PACIFIC ECONOMIC COOPERATION
3rd MEETING OF THE APEC OCEAN AND FISHERIES WORKING GROUP
(OFWG)
Qingdao, China**

9-12 May 2014

Day 1: 9 May 2014

Day 1 to focus on: Welcoming the group and going over logistics, discussing general progress of the working group since the last annual meeting, and beginning discussions on the Strategic Priority Areas of OFWG Operations.

09:00 – 10:00 a.m.

Session I - Opening Session

- A. Welcoming Remarks by Mr. Greg Schneider, Lead Shepherd of the OFWG
- B. Opening of the APEC 3rd Oceans and Fisheries Working Group meeting by [official from China] (China) - TBC
- C. Remarks by representative of the 2014 Chair of the Senior Officials Meeting, on APEC Priorities for 2014 (SOM Chair's Office – China) (*Note: we will turn to this item at such time as the representative of the SOM Chair is available*) – TBC
- D. Appointment of rapporteur
- E. Introduction of Delegation Leads
- F. Formation of committee to assist with drafting of Summary Report (OFWG Lead Shepherd)
- G. Adoption of the Agenda (OFWG Lead Shepherd)

OFWG Delegates Group Photo Session –TBA (Note: we will break for the photo at such time as directed by the host economy)

10:00-10:30 a.m. - Coffee Break

10:30 a.m. – 12:30 p.m.

Session II – Developments in APEC since the 2nd OFWG Meeting

- A. Overview of Outcomes of APEC Leaders Meeting 2013 and implications for OFWG (Indonesia)
- B. Overview of intersessionally endorsed actions and documents (OFWG Lead Shepherd)

(Endorsed)

- C. Brief report on the Preparatory Meeting of 4th AOMM and Symposium on APEC Marine Sustainable Development Report held on March 18, 2014. (China)
- D. APEC Secretariat Report on Relevant Developments in APEC (APEC Secretariat Program Director)

Session III - Information Sharing

- A. Information Sharing by APEC Economies (5 minutes per economy)
APEC member economies are invited to make brief updates on ocean-related issues in their economies (*Note: Economies are encouraged to submit written copies of their full updates to the working group in advance of the meeting. Economies are also still encouraged to give presentations on specific projects under the appropriate Strategic Priority agenda item.*)
- B. Report on the Fifth Round of Informal Consultation on Eel Conservation and Information Exchange (Japan)
- C. Discussion, as needed

12:30-2:30 p.m. – Lunch

2:30-4:00 p.m.

Session IV - Strategic Priority Areas

- A. OFWG Operations and Capacity Building
 - 1. Strategic Plan and Work Plan
 - a. Review of progress on the OFWG Strategic Plan (Drafting Team Leader – U.S.)
 - b. Review of consultant comments on OFWG Strategic Plan (Secretariat)
 - c. Discussion of progress on implementing the OFWG 2014 Annual Work Plan (OFWG Lead Shepherd)
 - d. Discussion of development of the 2015 OFWG Annual Work Plan (OFWG Lead Shepherd)
 - 2. OFWG Terms of Reference
 - a. Overview of clarifications needed of OFWG Terms of Reference (Lead Shepherd)
 - b. Presentation by APEC Secretariat on Standards and Norms for Terms of Reference Across APEC Sub-fora (APEC Secretariat)

(Endorsed)

- c. Open Discussion
3. Discussion/clarification of steps to select next OFWG Deputy Lead Shepherd (Lead Shepherd and Deputy Lead Shepherd)
4. APEC-Funded Projects Timelines and Funding Criteria for 2014 (APEC Secretariat)

4:00- 4:30 p.m. - Coffee Break

4:30 – 5:30 p.m.

Day 1 – Closing remarks

- Any logistical information to be shared by the host economy (China)
- Day 1 Concluding Remarks (OFWG Lead Shepherd)

5:30-6:30 p.m. - Drafting Committee meeting

(Endorsed)

Day 2: 10 May 2014

Day 2 to focus on: Continued discussion of the OFWG Strategic Priority Areas of OFWG Operations.

9:00 -10:30 a.m.

Session IV - Strategic Priority Areas - Continued

5. Lead Shepherd presentation on enhancing strategic planning, coordination of the development of OFWG projects, aligning OFWG work with APEC priorities, and OFWG engagement in the Mainstreaming Ocean-Related Issues Initiative (OFWG Lead Shepherd)
6. Presentation by APEC Secretariat summarizing the ocean and fisheries related projects and work that has been done in 2013 and/or is proposed for 2014 by other working groups that could represent opportunities for cross-group collaboration on projects. (APEC Secretariat)
7. Open discussion on potential new project proposals (OFWG Lead Shepherd)
 - a) Future Project Proposals by Economies for Round 2 of 2014 APEC Project Funding and Beyond
 - b) Applying earlier discussions on improving coordination amongst economies and across working groups
8. Beyond Projects - Identifying other Tangible, Actionable Opportunities to Advance and Elevate OFWG Priorities within APEC – Private Sector Engagement and other groups (OFWG Lead Shepherd)

10:30-11:00 - Coffee break

11:00 a.m. -1 pm.

9. Remarks by Representative of APEC Business Advisory Council (ABAC) on ABAC activities in 2013/2014 (*Note: we will turn to this item at such time as the ABAC representative is available.*) – TBA
10. Presentations by Private Sector Representatives on Efforts Relevant to the OFWG that are ongoing in Other APEC Working Groups
 - a) Plastic Marine Pollution Project Proposal through the Chemical Dialogue Group (Steve Russell, Vice President, American Chemistry Council)
 - b) Report of OFWG participation in the Friends of the APEC Policy Partnership on Food Security (PPFS) Working Group

(Endorsed)

2 on Agriculture and Fisheries (OFWG Representatives to the Friends of PPFS Working Group 2 on Agriculture and Fisheries)

c) Remarks by invited representative of the PPFS on the work of the PPFS in 2013/2014, including a Presentation on the progress of/endorsement of the APEC Food Security Action Plan (PPFS Representative – Jacqui Dixon, APEC Policy Partnership for Food Security Working Group 2 Co-Chair)

11. Discussion between OFWG economies on how to coordinate increased engagement by the private sector on OFWG priority issues, including across relevant working groups, and also including how the private sector could support APEC oceans and fisheries related activities. (OFWG Lead Shepherd)

Day 2 – Closing remarks

- Any logistical information to be shared by the host economy (China)
- Day 2 Concluding Remarks (OFWG Lead Shepherd)

1:00 – 3:00 p.m. - Lunch

3:00 – 5:30 p.m. – Technical Tour – Details TBA

6:30 – 8:30 p.m. – Formal Dinner – Details TBA

(Endorsed)

Day 3: 11 May 2014

Day 3 to focus on: Relevant reports and discussions under the Strategic Priority Areas: Advance Sustainable Development and Protection of the Marine Environment, Strengthen Food Security, Promote Free and Open Trade and Investment, and Enhance Understanding of the Impacts of Climate Change.

9:00 -10:30 a.m.

Session IV - Strategic Priority Areas - Continued

B. Advance Sustainable Development and the Protection of the Marine Environment

1. Report on outcomes of the self-funded project “14th APEC Roundtable Meeting on the Involvement of the Business/Private Sector in the Sustainability of the Marine Environment” (Chinese Taipei)
2. Report on progress of implementation of APEC-funded project OFWG 01/2013 “Marine Ecosystem Assessment and Management in the Asia-Pacific Region, Phase IV” (United States)
3. Report on progress on the “APEC Marine Sustainable Development Report” (China)
4. Report on the Advanced Training on Marine Spatial Training for Asia-Pacific Rim (China)
5. Report on Progress of APEC Ocean and Fisheries Information Center (AOFIC) (Indonesia)
6. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan

10:30-11:00 - Coffee break

11:00 a.m. – 1:00 p.m.

C. Strengthen Food Security

1. Report on the self-funded project OFWG 01/2013S “Workshop on Fisheries and their Contribution to Sustainable Development in APEC Economies: Small-Scale and Artisanal Fisheries to Support Food Security” (Indonesia)
2. Update on the Pathfinder Interim project on Enhancement of Partnership of APEC Economies on Combating IUU Fishing and Associated Trade by Undertaking Voluntary Obligations on Non-proliferation Flags of Convenience Practices (Russia)

(Endorsed)

3. Presentation on the progress of the development of OFWG Food Security Action Plan (Chair of Drafting Team-- USA)
4. Aquaculture Development in Supporting the Implementation of Blue Economy for Food Security in West Nusa Tenggara Province, Indonesia. (Indonesia)
5. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan

1:00 – 2:30 p.m. - Lunch

2:30-4:00 p.m.

- D. Promote Free and Open Trade and Investment
 1. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan
- E. Enhance Understanding of the Impacts of Climate Change
 1. Open discussion on additional opportunities for OFWG to advance priorities from the Strategic Plan
- F. Presentation on “Preserving healthy oceans and developing sustainable fisheries” (Bob Tansey, The Nature Conservancy China Program, Senior Policy Advisor)

4:00-4:30 p.m. - Coffee Break

4:30-5:00 p.m.

- G. Report on APEC Self-funded project of Blue Economy Model Program (Phase I) and Proposal of 3rd APEC Blue Economy Forum (China)
- H. Presentation on Policy Framework of Achieving Sustainable Marine and Fisheries Development Based on the Principles of the Concept of the Blue Economy (Indonesia)

5:00-5:30 p.m.

Day 3 cont. – Closing remarks

- Any logistical information to be shared by the host economy (China)
- Day 1 Concluding Remarks (OFWG Lead Shepherd)

5:30-6:30 p.m. - Drafting Committee meeting

(Endorsed)

Day 4: 12 May 2014

Day 4 to focus on: Preparation for the upcoming AOMM4, summarizing agreed upon actions and proposals at the meeting and beyond, discussing the possible efforts to support and close to APEC core agenda, and looking ahead to find a direction for future development.

9:00 -10:30 a.m.

Session VI – AOMM4 Planning Session (China)

- A. Presentation and round table discussion of Desired Outcomes of AOMM4 Priorities (China/Lead Shepherd)
- B. General discussion

10:30-11:00 - Coffee break

11:00 -12:30 a.m.

Session VI – AOMM4 Planning Session - Continued (China)

- C. Presentation of plans and timeline for the preparation of AOMM4 Declaration (China)

12:30 – 2:30 p.m. - Lunch

2:30-3:30 p.m.

Session VII – Looking Ahead

- A. Date and Venue for the 4th OFWG Meeting (OFWG Lead Shepherd)
- B. Development of list of intersessional work and potential agenda topics for the 4th OFWG Meeting 2015 (OFWG Lead Shepherd)
- C. Presentation of draft 2015 OFWG Work Plan (OFWG Lead Shepherd)

3:30- 4:30 p.m. - Drafting Committee meeting

3:30- 4:30 p.m. - Coffee Break

4:30 – 5:30 p.m.

- Summary Report of the 3rd OFWG Meeting
 - Discussion of Summary Report, including list of endorsed actions and agreed intersessional work (Drafting Committee)

(Endorsed)

- o Adoption of Summary Report (OFWG Lead Shepherd)

5:30-6:00 p.m.

Session VI - Closing Session

- A. Classification of Documents (APEC Secretariat)
- B. Logistical Information shared by the Host (China)
- C. Closing Remarks by [Chinese official] (China) - TBC
- D. Closing Remarks by OFWG Lead Shepherd (OFWG Lead Shepherd)

- End -