



**Asia-Pacific  
Economic Cooperation**

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## **Regulation of Metals in Soils**

Submitted by: University of Adelaide



**Workshop on Metals Risk Assessment  
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# Regulation of Metals in Soils

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## Overview

- Sources of metals in soils
- Development of ecological soil criteria
- How to handle biomagnification?
- Example frameworks – Australian National Environment Protection Measure
- Dealing with complex historically contaminated sites (after screening level assessment)

## Sources of Metals in Soils

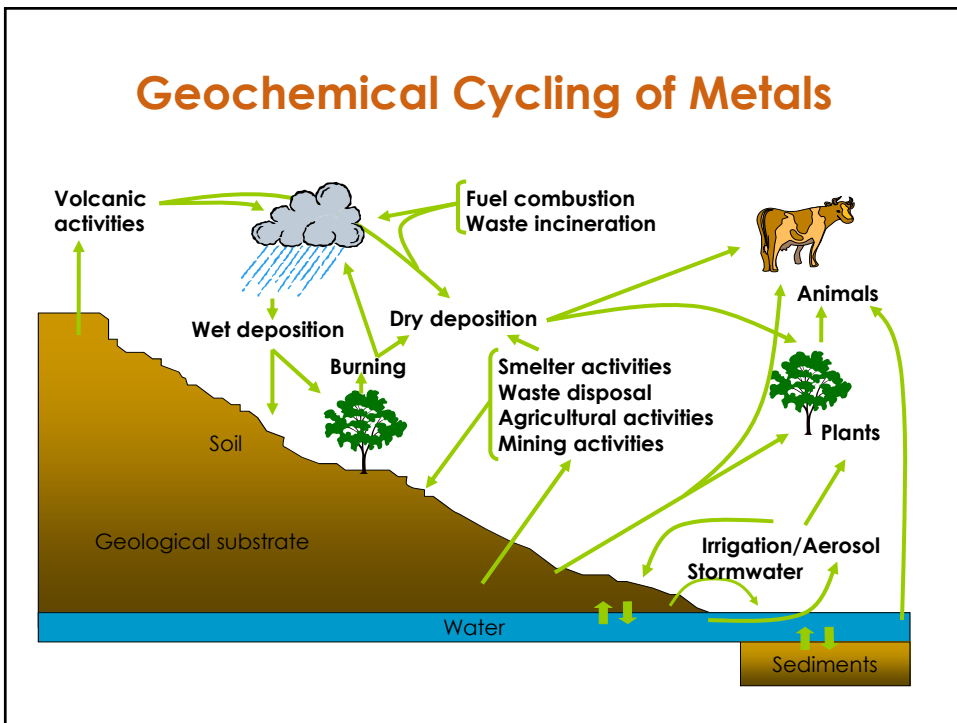
- **Geogenic**
  - Parent rock weathering, e.g. all metals
  - Atmospheric accessions e.g. volcanic activity, e.g., F
  - Surface or groundwater irrigation on soil e.g., As
- **Anthropogenic**
  - Mining/smelter emissions (atmosphere and to waters used for irrigation)
  - Coal combustion
  - Chemical and electronic industry waste
  - Waste disposal
  - Agricultural inputs
  - Transport
  - Urban wastes











## Protecting Soils from Metal/ Metalloid Contamination

### **We need to control soil contamination for several reasons**

- Metals/metalloid do not degrade
- Most metals/metalloids are not easily removed from soils
- Soils are the basis for food production
- Soils are the basis of the wildlife food chain
- Most potable water passes through soil before storage

## Protecting Soils from Metal/ Metalloid Contamination

### **Two scenarios to consider:**

1. Assessing presence of contamination and ecological/human risk and the need for remediation (historical contamination)
2. Predicting accumulation in soils and assessing needs for controls on emissions to soils (preventing future risk)

Both these require the development of appropriate generic or site-specific ecological soil quality standards

## **Protecting Soils from Metal/ Metalloid Contamination**

- **Most soil quality standards are derived using total metal concentrations in soil**
- **Total metal concentration is not a good measure of metal bioavailability**
- **How can we merge the most recent understanding of metal bioavailability into soil quality standards?**

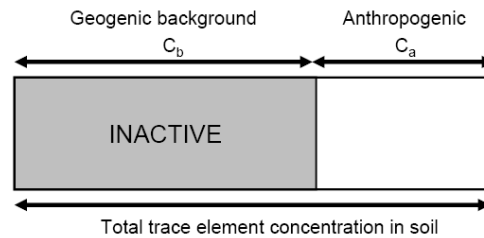
## **Protecting Soils from Metal/ Metalloid Contamination: Major Issues**

- **Need to consider background**
- **Need to consider “soil effect” on bioavailability (normalisation)**
- **Need to consider leaching/ageing factor for laboratory toxicity data**
- **Need to consider multiple biological species**



## Background

- One way to incorporate this issue is to use the “added risk” approach



Soil Quality Standard = Background + Added Contaminant Limit

## Soil Bioavailability

Species/soil process	X parameter(s)	Reference
<i>E. fetida</i> (eworm)	<b>0.79* log CEC</b>	Lock and Janssen, 2001
<i>F. Candida</i> (collembola)	1.14* log CEC	Lock and Janssen, 2001
PNR	<b>0.15*pH</b>	Smolders et al., 2003
SIN	0.34*pH + 0.93	Broos et al., 2007
	0.14 * pH + 0.89*log OC + 1.67	Warne et al., 2008a
<i>T. aestivum</i> (wheat)	<b>0.271*pH + 0.702*CEC + 0.477</b>	Warne et al., 2008b
	0.12*pH + 0.89* log CEC + 1.1	Smolders et al., 2003

CEC = cation exchange capacity of soil

## Laboratory Bioavailability $\neq$ Field Bioavailability

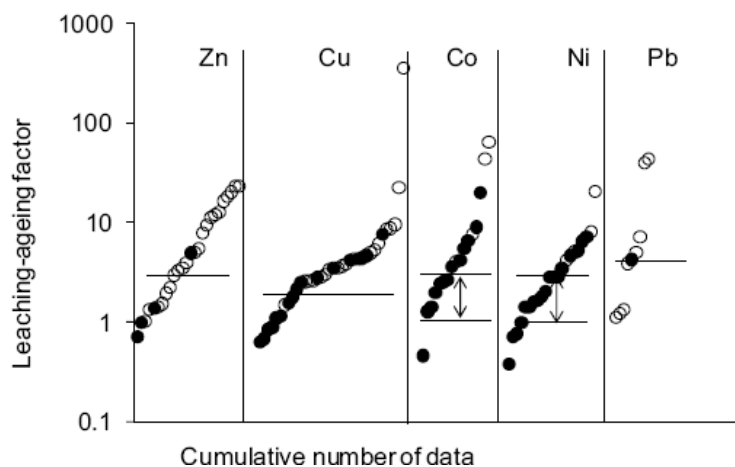


$\neq$



- **Two key differences between laboratory-based and field-based experiments are**
  - Short-term artifacts induced in laboratory toxicity experiments
  - Long-term artifacts —ageing of metals

## Lab-to-Field Extrapolation: Leaching/Ageing



Source: Smolders et al. 2009

## Multiple Species

- **Two options:**
  1. Use toxicity data for the most sensitive organism – ensures all others (tested) are protected
  2. Aggregate toxicity data and use a species sensitivity distribution

## For Data-Poor Metals

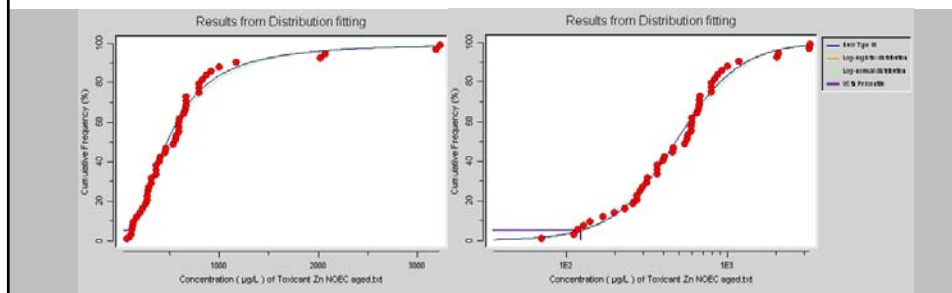
- Take the lowest toxicity value and divide it by an assessment factor (AF)
- The limit is set using the most sensitive species in the most sensitive soil
- In general, this approach sets very low ecotoxicity threshold values

Toxicity data available		
No. species	No. taxonomic /nutrient gps	AF
< 3 species	NA <sup>a</sup>	500
≥ 3 species	1	100
	2	50
< 5 species	3	10
Field or model ecosystem data		10

Source: NEPC 2013

## Multiple Species

- **Two options:**
  1. Use toxicity data for the most sensitive organism – ensures all others (tested) are protected
  2. Aggregate toxicity data and use a species sensitivity distribution
    - Best performed on ecotoxicity thresholds normalised to “standard soil” conditions to exclude “soil sensitivity”



## Organism Type and Endpoint Issues

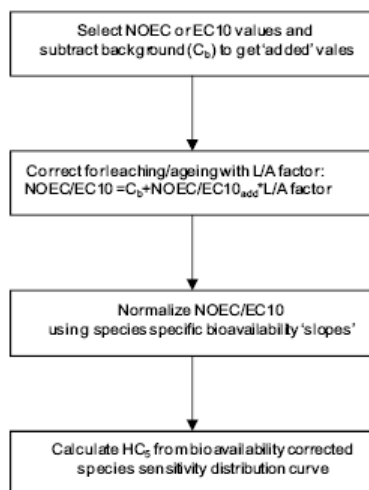
- **General agreement that higher level chronic ECx endpoints are preferable e.g., mesocosm, field ecosystem data, etc.**
- **Key limitation was data availability at higher levels so lab to field extrapolation is needed**
- **Laboratory methods need to have ecological relevance – both in terms of species selection, trophic “coverage” and, for microbial functions, functional relevance**
- **Species sensitivity distributions are generally regarded as a good integration tool as long as input data are screened for quality and relevance**



## Protecting Soils from Metal/Metalloid Contamination: Other Issues

- Biomagnification (for some elements)
- Choice of endpoints (relevance)
- Data quality screening criteria
- Choice of SSD model
- Level of protection used (HCx, AFs)
- Land use multifunctionality
- Mixtures and mixture models

## Combining Abiotic and Biotic Factors Affecting Soil Quality Standards



Source: Smolders et al., 2009

## Combining Abiotic and Biotic Factors Affecting Soil Quality Standards

- Used for development of soil amendment guidelines (sludges/wastes/manures/etc.)

1. Collation of toxicity data and assessment of its quality and appropriateness

2. Determine if temporal changes in toxicity occur. Select the most sensitive set of toxicity data.

3. Regress toxicity data against soil properties and derive normalisation relationships.

4. Normalise toxicity data of all species to a standard soil with specific characteristics

5. Use a species sensitivity distribution method to obtain a protective concentration (added contaminant limit – ACL)

6. Calculate ACL values for a range of soils using the normalisation relationships

If deriving soil TVs omit steps 7 & 8

7. Derive a soil amendment (bio)availability factor (SAAF)

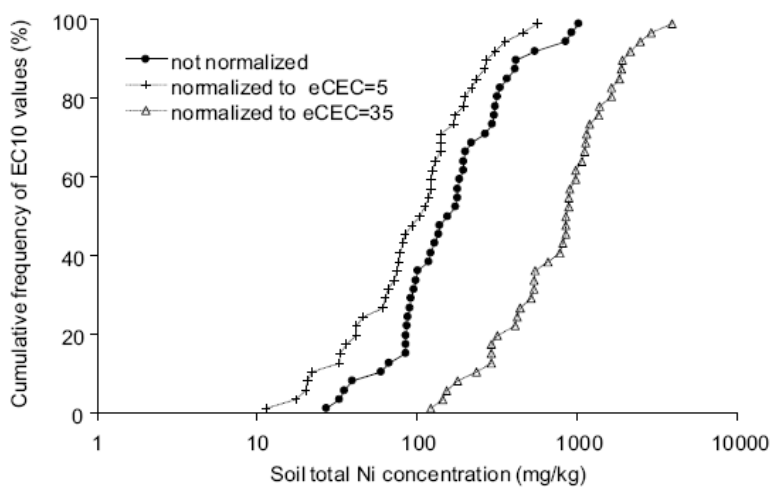
8. Multiply the SAAF and soil ACL values to derive ACL values for soil amendments

9. Determine the ambient background concentration (ABC) of the contaminant

10. Add the ABC to the ACL values to calculate TVs

Source: Heembergen et al., 2009

## Combining Abiotic and Biotic Issues



Source: Smolders et al. 2009

## Removing the Effect of Soil Properties

- Normalisation equations were available so the data were normalised to the Australian reference soil (see table below)

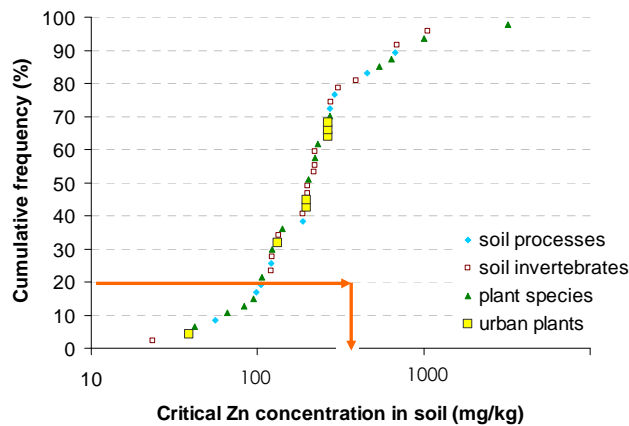
Properties of the Australian reference soil

Soil property	Value
pH	6
Clay (%)	10
CEC (cmol <sub>c</sub> /kg)	10
Organic carbon (%)	1

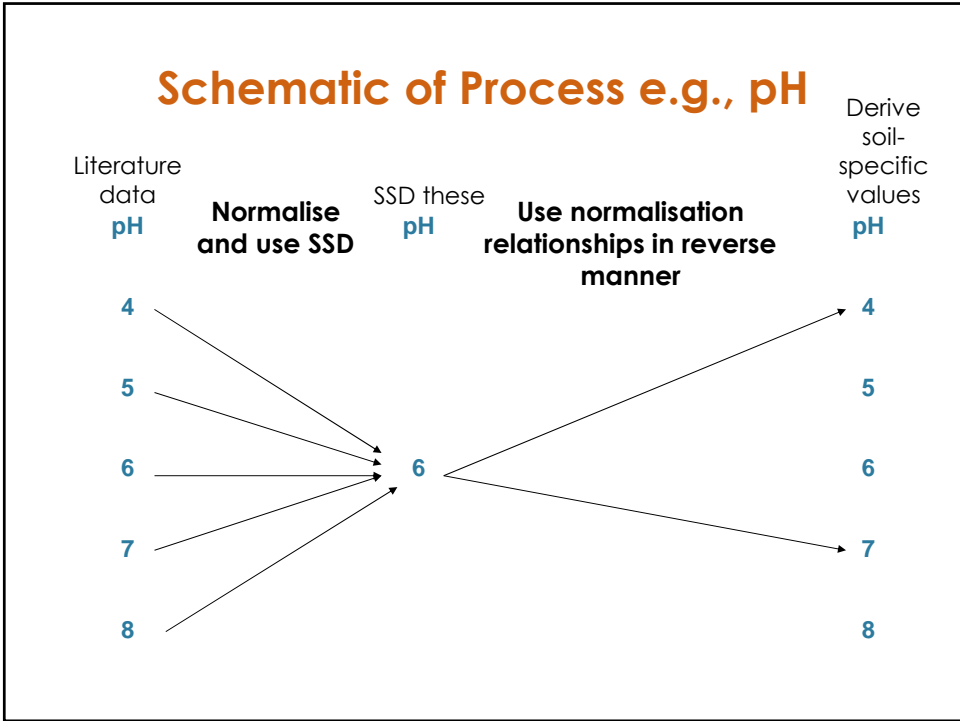
CEC = cation exchange capacity of soil

## Sensitivity of Organisms to Zn and Calculating an Added Contaminant Limit (ACL)

Normalised Zn EC30 and LOEC data



**Note:**  
155 mg added Zn/kg soil is the  $ACL_{(LOEC \& EC30)}$  for fresh zinc in the Australian reference soil with an urban residential land-use



### Calculating Added Contaminant Limits (ACLs)

- ACLs for zinc (mg/kg) depend on soil characteristics: pH and cation exchange capacity (CEC)

pH	CEC					
	5	10	20	30	40	60
4						
5						
6		X				
7						
7.5						

The 'X' data point is ACL for a soil with a pH of 6 and CEC of 10 cmol/kg (from the SSD calculation)



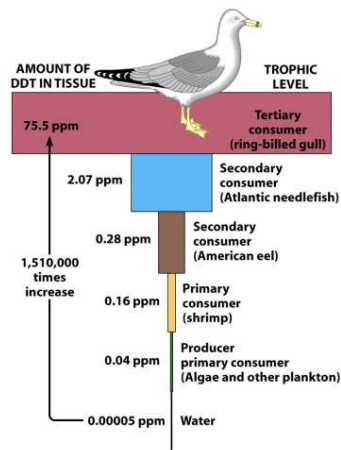
## Fresh Zn Soil-Specific Added Contaminant Limits (ACLs)

- ACLs for fresh zinc contamination (mg/kg) in residential, urban and rural parkland land uses

pH	CEC						
	5	10	20	30	40	60	
4	27	44	72	96	118	157	27
5	51	83	135	180	220	290	51
6	95	155	252	335	410	545	95
7	178	290	470	625	765	1020	178
7.5	245	395	645	855	1045	1390	245

Soil Quality Standard =  
Background + Added Contaminant Limit

## Biomagnification (Secondary Poisoning)



- Options for inclusion in standards

- Biomagnification algorithms
- Default biomagnification factors (BMF)
- Increasing species protection level in the SSD

## Biomagnification (Secondary Poisoning)

- US EPA approach

$$SQG_{sp} = \frac{\text{Toxicity reference value}}{FIR \cdot (P_s + BAF_{ij})}$$

**SQG<sub>sp</sub>** = soil quality guideline for secondary poisoning

**Toxicity reference value** = mg contaminant/mg prey tissue

**FIR** = food intake ratio

**P<sub>s</sub>** = proportion of the diet that is soil

**BAF<sub>ij</sub>\*** = bioaccumulation factor for metal i by species j

\*NOTE - BAF will vary with soil metal concentrations. For a screening value the highest BAF in the literature is used

## Biomagnification (Secondary Poisoning)

The Dutch methodology developed by Van der Plassche (1994) or Romijn et al. (1991) does not account for soil ingestion and calculates the SQG by:

$$SQG_{sp} = \frac{NOEC_{predator}}{BCF_{prey}} \quad (\text{equation 16})$$

where SQG<sub>sp</sub> is the soil quality guideline that accounts for secondary poisoning expressed in mg/kg, NOEC predator is the NOEC for a predator expressed as mg contaminant/kg prey tissue, BCF<sub>prey</sub> is the bioconcentration factor of the contaminant for a prey species expressed as a ratio of concentration in the prey and in the soil. If the BCF<sub>prey</sub> is unknown, the BCF was predicted based on the log K<sub>ow</sub> of the contaminant using QS

Source: NEPM 2013

## Biomagnification (Secondary Poisoning)

### The Australian NEPM does this in 2 ways:

1. If the SSD approach was used (data-rich metal) the level of protection is increased by 5%
2. If the Assessment Factor approach is used (data-poor metals) then

$$ACL_{\text{Biomagnification}} = \frac{ACL}{BMF}$$

- The Biomagnification Factor (BMF) is taken from literature data (80<sup>th</sup> percentile of all data)

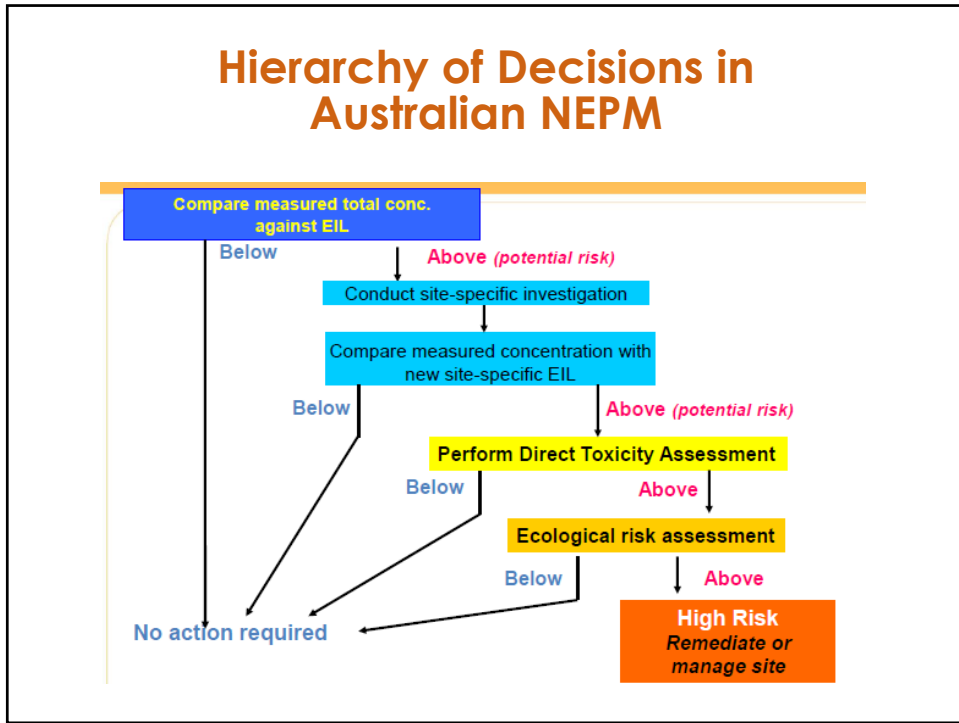
## Biomagnification (Secondary Poisoning)

- The Australian NEPM does not consider As, Cr, Cu, Ni, Pb or Zn to biomagnify
- Obviously some elements can biomagnify e.g., As, Hg but this is more commonly observed in aquatic systems than in terrestrial systems

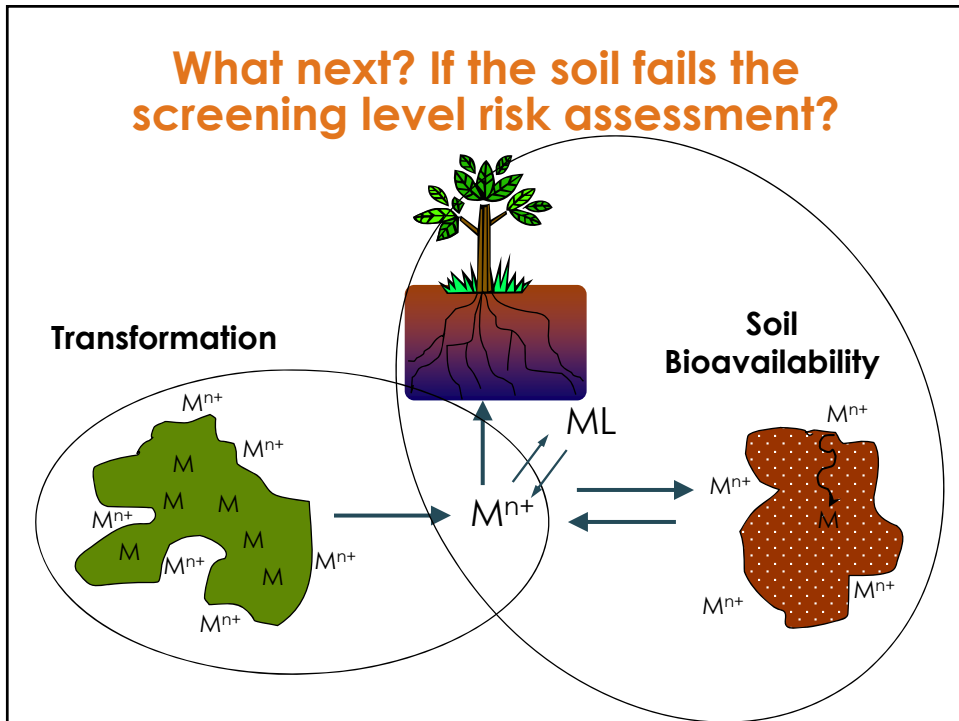




## Hierarchy of Decisions in Australian NEPM



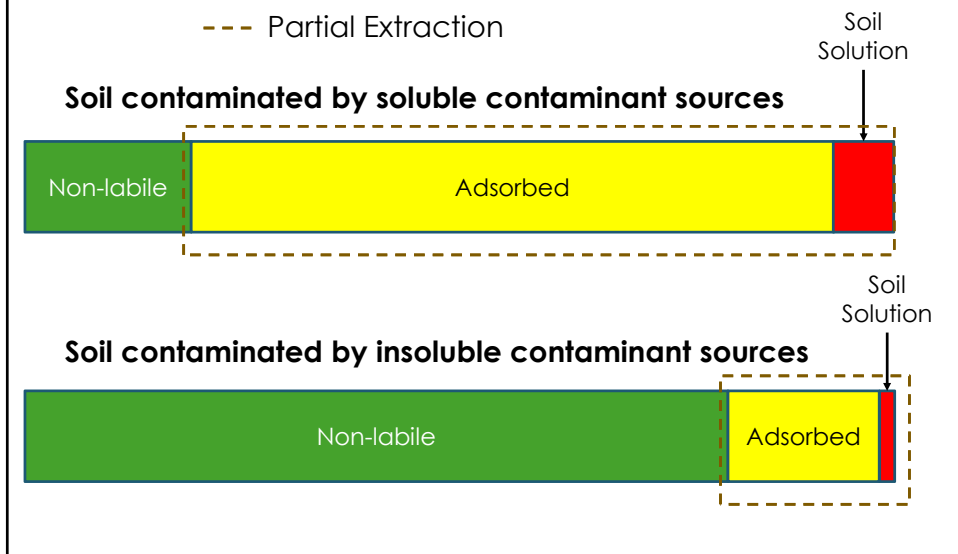
## What next? If the soil fails the screening level risk assessment?



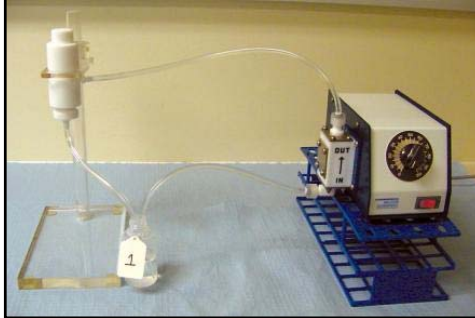
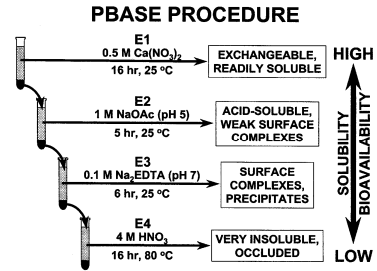
## Different Sources of Contaminants at Contaminated Sites Increase Complexity

- At contaminated sites some contaminant sources may be highly soluble e.g. galvanised runoff, plating effluents, etc.
- Others are highly insoluble e.g. vitreous slags, pure metallic waste (Pb shot), etc.
- Total concentrations treat these sources similarly
- Modelling to predict dissolution is complex
- Selective extraction offers a simple screening tool prior to more detailed risk assessment

## Metal/Metalloid Pools in Contaminated Sites



## Extraction of a Bioavailable Fraction



## Partial Extractants (standard methods)

- 1.0 M  $\text{NH}_4\text{NO}_3$  (DIN 19730)
- 0.01M  $\text{NaNO}_3$
- 0.001 M  $\text{CaCl}_2$

INTERNATIONAL  
STANDARD

**ISO  
19730**

First edition  
2008-12-01

Soil quality — Extraction of trace  
elements from soil using ammonium  
nitrate solution

*Qualité du sol — Extraction des éléments traces du sol à l'aide d'une  
solution de nitrate d'ammonium*

TECHNICAL  
SPECIFICATION

**ISO/TS  
21268-1**

First edition  
2007-07-15

Soil quality — Leaching procedures for  
subsequent chemical and  
ecotoxicological testing of soil and soil  
materials —

Part 1:  
Batch test using a liquid to solid ratio  
of 2 l/kg dry matter

*Qualité du sol — Modes opératoires de lixiviation en vue d'essais  
chimiques et écotoxicologiques ultérieurs des sols et matériaux du  
sol —*

*Partie 1: Essai en bûchée avec un rapport liquide/solide de 2 l/kg de  
matière sèche*

## Partial Extractants



Ecotoxicological calibration data???

## Partial Extractants of Soil

- **Most research on partial extractants has focussed on correlations of extracted concentrations with concentrations in terrestrial plants**
- **For ecological receptors, there is a paucity of calibration data against toxicological endpoints**
- **It is unknown if critical values derived from extracted concentrations will need to be normalised using other soil physicochemical properties – this is a research gap**

## Partial Extractants

- **Advantages**
  - They account for bioavailability of the contaminant source
- **Disadvantages**
  - There are many methodologies in the literature and it has not been resolved which is “best”
  - Very little calibration data for ecotoxicity thresholds
  - Intra-laboratory differences of these measures are higher than that for total concentration measurements

## References

- Heemsbergen, D.A., M.S.J. Warne, K. Broos, M. Bell, D. Nash, M. McLaughlin, et al. 2009. Application of phytotoxicity data to a new Australian soil quality guideline framework for biosolids. *Sci. Total Environ.* 407: 2546–2556.
- NEPC. 2013. National Environment Protection (Assessment of Site Contamination) Measure 1999 (2013 Amendment), Volume 7, Schedule B5b. Guideline on Methodology to Derive Ecological Investigation Levels in Contaminated Soils. In: N. E. P. Council, editor Australian Commonwealth Government.
- NEPC. 2013. National Environment Protection (Assessment of Site Contamination) Measure 1999 (2013 Amendment), Volume 8, Schedule B5c. Ecological Investigation Levels for Arsenic, Chromium (III), Copper, DDT, Lead, Naphthalene, Nickel & Zinc. In: N. E. P. Council, editor Australian Commonwealth Government.
- Smolders, E., K. Oorts, P. Van Sprang, I. Schoeters, C.J. Janssen, S.P. McGrath, et al. 2009. Toxicity of trace metals in soil as affected by soil type and aging after contamination: Using calibrated bioavailability models to set ecological soil standards. *Environ. Toxicol. Chem.* 28: 1633–1642.