



**Asia-Pacific
Economic Cooperation**

2015/SOM3/SCSC/FSCF/PTIN/RT/008

Good Regulatory Practice: Perspective of Codex

Submitted by: Philippines



APEC
PHILIPPINES
2 0 1 5

**Towards a Future of Prevention and Partnership:
Roundtable on Effective Industry / Regulator
Cooperation
Cebu, Philippines
24-25 August 2015**

Good Regulatory Practice: Perspective of Codex

Dr. Jocelyn M. Sales

Officer-in-Charge, Food Development Center - National Food Authority
and Head, Management Support Office - National Codex Organization
Republic of the Philippines

2015 Workshop of the APEC Food Safety Cooperation Forum (FSCF)
Partnership Training Institute Network (PTIN)
*“Towards a Future of Prevention and Partnership:
Roundtable on Effective Industry/Regulator Cooperation”*

24-25 August 2015
Cebu, Republic of the Philippines
Radisson Blue Cebu
Serge Osmeña Boulevard corner Juan Luna

Good Regulatory Practices (GRP)

- Internationally recognized processes, systems, tools and methods for **improving the quality of regulations**.
- Systematically implements public consultations, stakeholder engagement, and impact analysis of government proposals, before these are implemented to make sure that these are **fit for purpose** and will **deliver what these are set out to achieve**.

Reference: ASEAN-OECD Good Regulatory Practice Conference 2015: Connectivity, Competitiveness and Regulatory Coherence. Institutional Connectivity to Support Regional Economic Integration. 10-11 March 2015, Kuala Lumpur, Malaysia .

Principles for Good Regulatory Practice (GRP)

- Serve clearly identified policy objectives and be effective in achieving those objectives
- Have sound legal and empirical basis
- Produce benefits that justify costs, considering the distribution of effects across society and taking economic, environmental, social effects into account
- Minimize costs and market distortions
- Clear, simple and practical for users Based on international or national standards that are harmonized to international standards, except where legitimate reasons for deviation exist
- Least trade restrictive to achieve desired objectives
- Performance based rather than prescriptive
- Accord equal treatment to products of national origin and like products imported from Member states
- Subject to review to maintain flexibility and adaptability to changes

Reference: ASEAN Good Regulatory Practice (GRP) Guide. Endorsed by the 2/40 SEOM Meeting, 2-4 February 2009, Bangkok, Thailand.

Sales, J. 2015

3

Good Regulatory Practice (TBT Committee voluntary guidelines)

(as of October 2014)



GRP Guiding Principles

Good Governance

Efficiency

Rigorous implementation

Effectiveness

Codex standards, guidelines, codes of practice and related information can be a powerful instrument for regulatory management and reforms.

Open consultation

Transparency

Minimal restrictiveness

Equity

Sales, J. 2015 5

english français español العربية 中文 русский

C O D E X A L I M E N T A R I U S

International Food Standards

World Health Organization

Food and Agriculture Organization of the United Nations

Google™ Custom Search

- [Home](#)
- [About Codex](#)
- [Members & Observers](#)
- [Committees & Task Forces](#)
- [Meetings & Reports](#)
- [Circular letters](#)
- [Information Documents](#)
- [Standards](#)
- [List of standards](#)
- [GSFA online \(food additives\)](#)
- [Veterinary Drugs MRLs](#)
- [Pesticide MRLs](#)
- [Thematic compilations](#)

Codex Standards

All Codex texts are publicly available from this website:

All standards, guidelines, codes of practice and advisory texts that compose the Alimentarius are available from the [List of standards](#).

The numerical Codex standards for [food additives](#), [veterinary drugs maximum residue levels](#) and [pesticide maximum residue levels](#), can also be accessed via databases that facilitate their use.

[Thematic compilations](#) of Codex texts can be downloaded or ordered here.

FAO/WHO Scientific Basis for Codex

[JECFA](#)
[JMPR](#)
[JEMRA](#)
[Other scientific advice](#)

Latest news

New Codex Standards online
17 Aug 2015 - Post Commission is a busy time for the Codex Alimentarius Secretariat. In this two part [...](#)

August news round up
3 Aug 2015 - There is still a lot going on in the Codex world this August. Here is [...](#)

Final Report published
15 - The English language version of the Report of the 38th Session of [...](#)

Communication at SPS
15 - This week in Geneva, the Codex Secretary is taking part in a workshop run by [...](#)

CAC38 closes in Geneva
11 Jul 2015 - The 38th Session of the Codex Alimentarius Commission has come to a close in Geneva. 36 [...](#) 6

[News archive](#)

Mission: To ensure safe, good food for everyone, everywhere.

Type of Text	EN	FR	ES	AR	ZH	RU
Codes of practice	51	49	49	17	14	21
Guidelines	73	66	66	20	41	18
Misc	4	3	3	1	0	1
MRLs	1	1	1	0	0	0

Codex Standards*

- **Voluntary** application by members - Codex standards serve in many cases as a **basis for national legislation**.
- WTO's Agreement on Sanitary and Phytosanitary measures (SPS Agreement) **refer to Codex food safety standards when resolving trade disputes**.
- When WTO members wish to impose food safety measures which are **stricter** than those set by Codex, they may be required to **justify these measures scientifically**.

* Include all Codex Standards, Guidelines, Codes of Practice and Other Related Texts

Sales, J. 2015

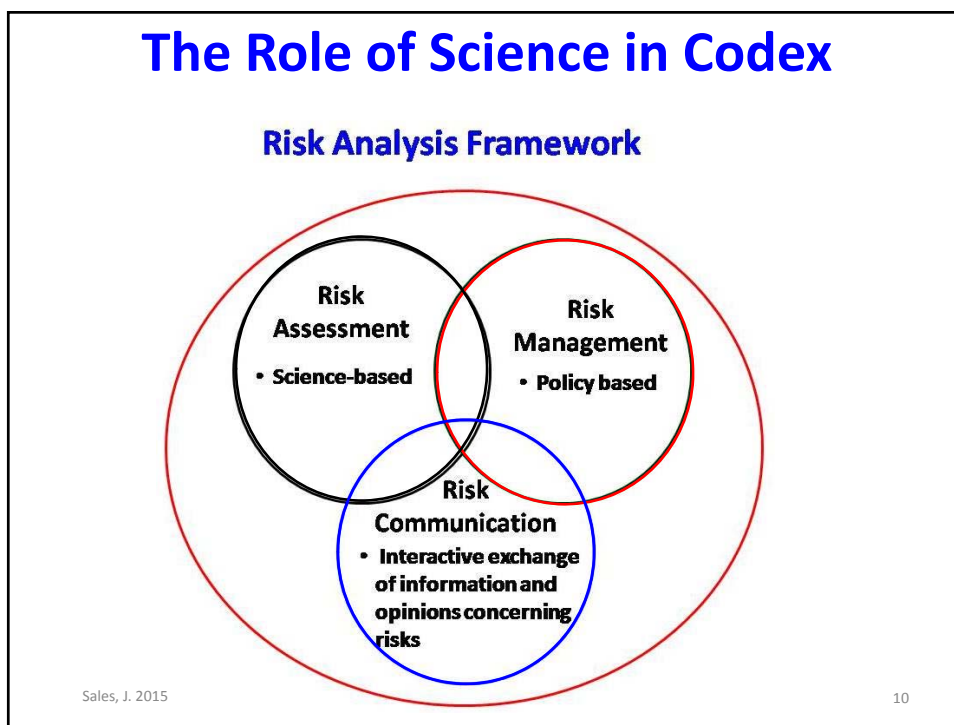
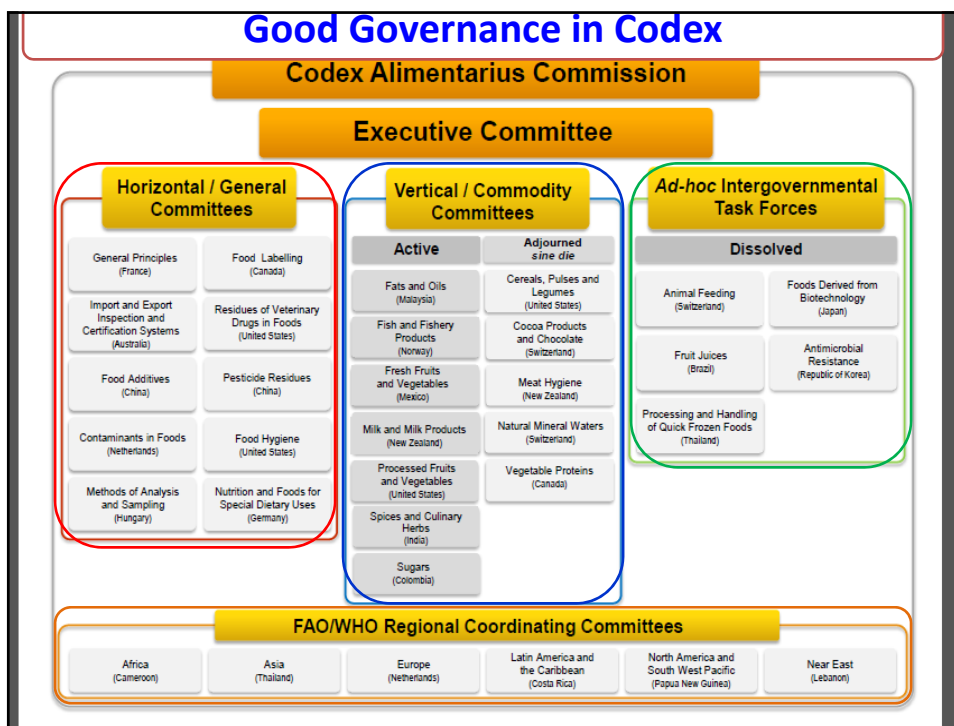
7

Good Governance in Codex

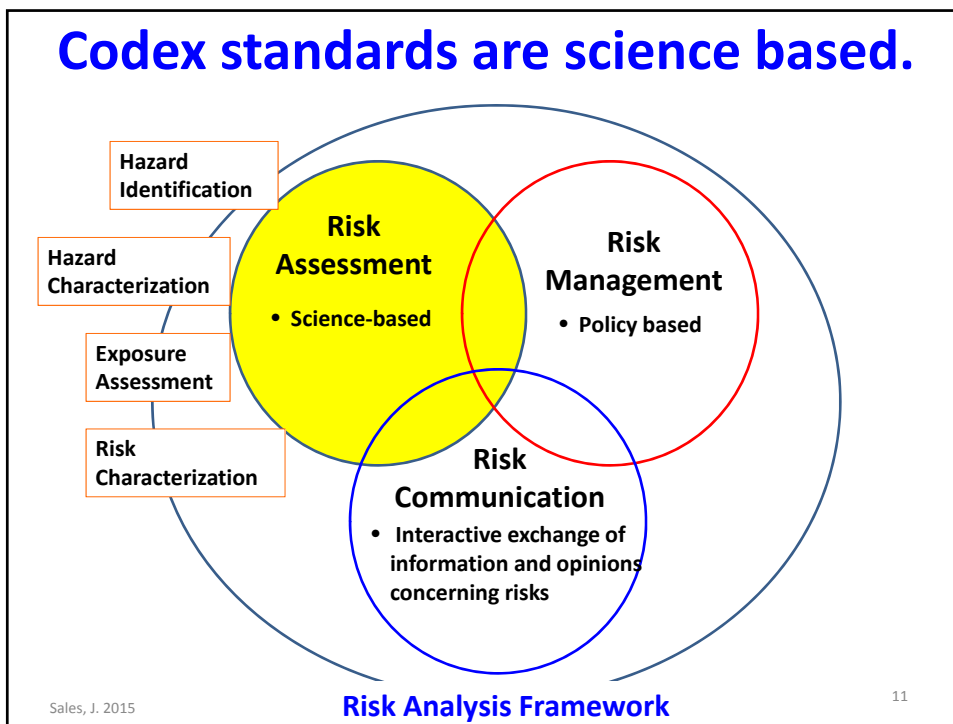
- The work at Codex is participated by member governments from various countries, about 99% of the world's population.
- Members are encouraged to actively participate in various horizontal general committees, vertical commodity committees, and *ad hoc* intergovernmental task forces.
- FAO/WHO Regional Coordinating Committees are also formed to address the concerns in specific regions.



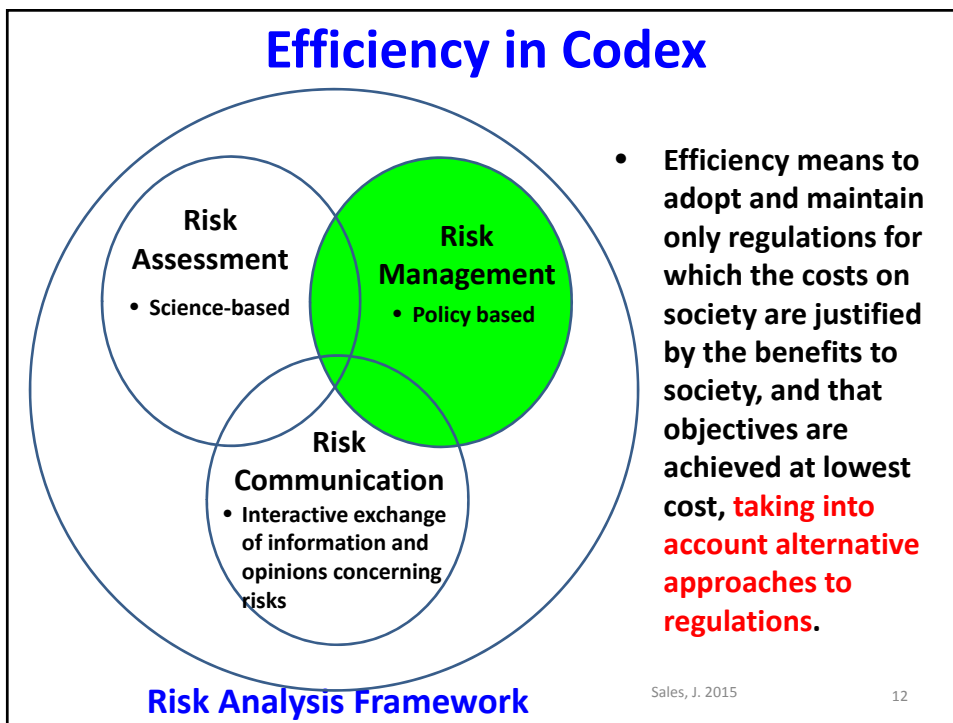
Sales, J. 2015

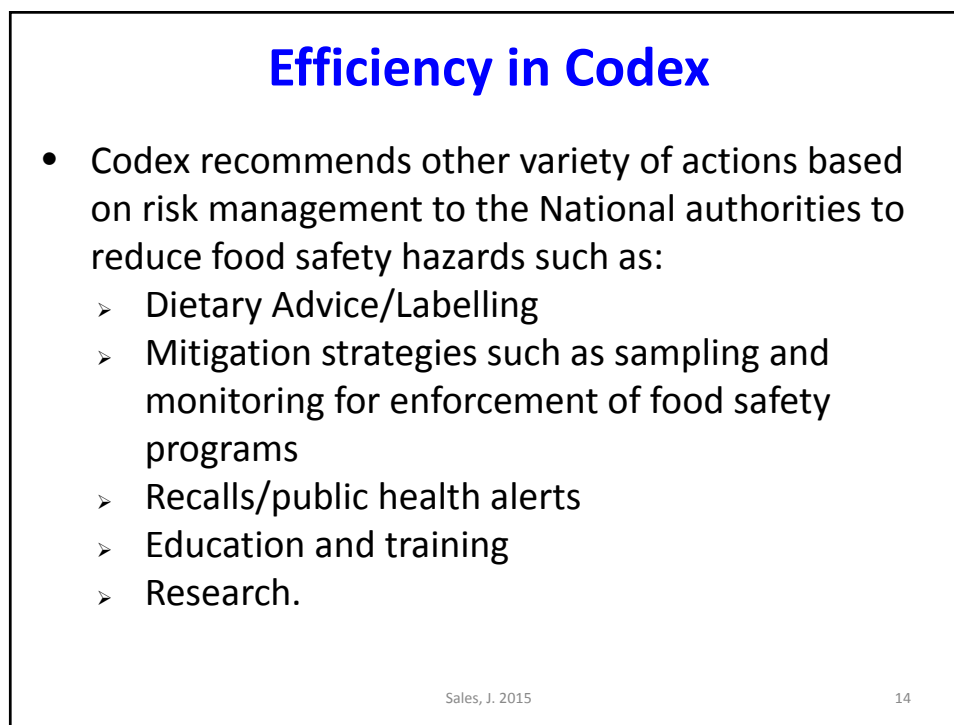
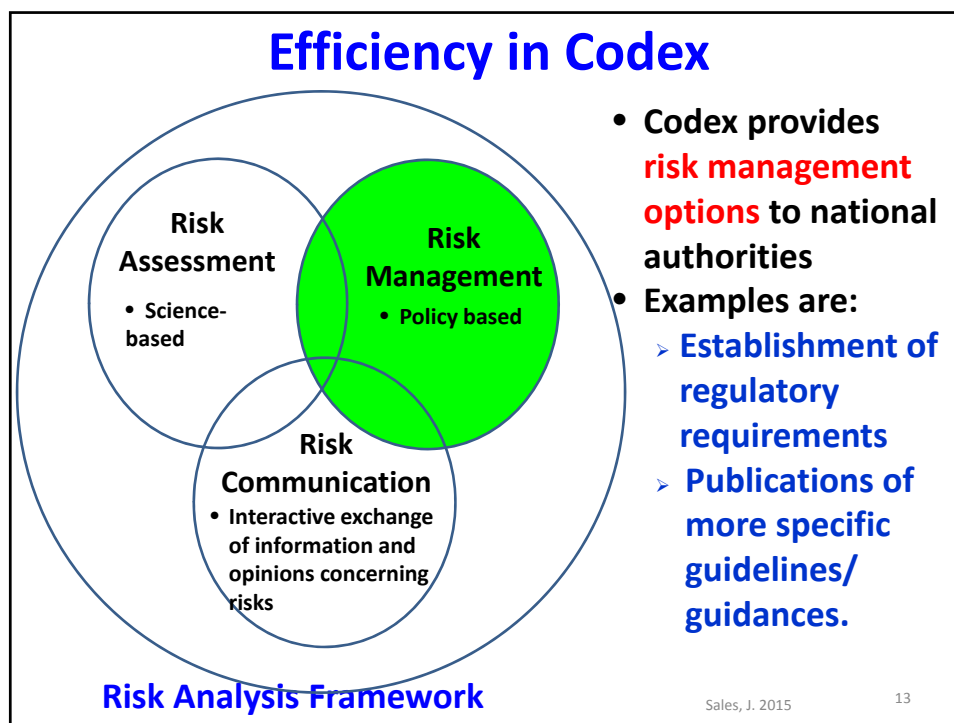


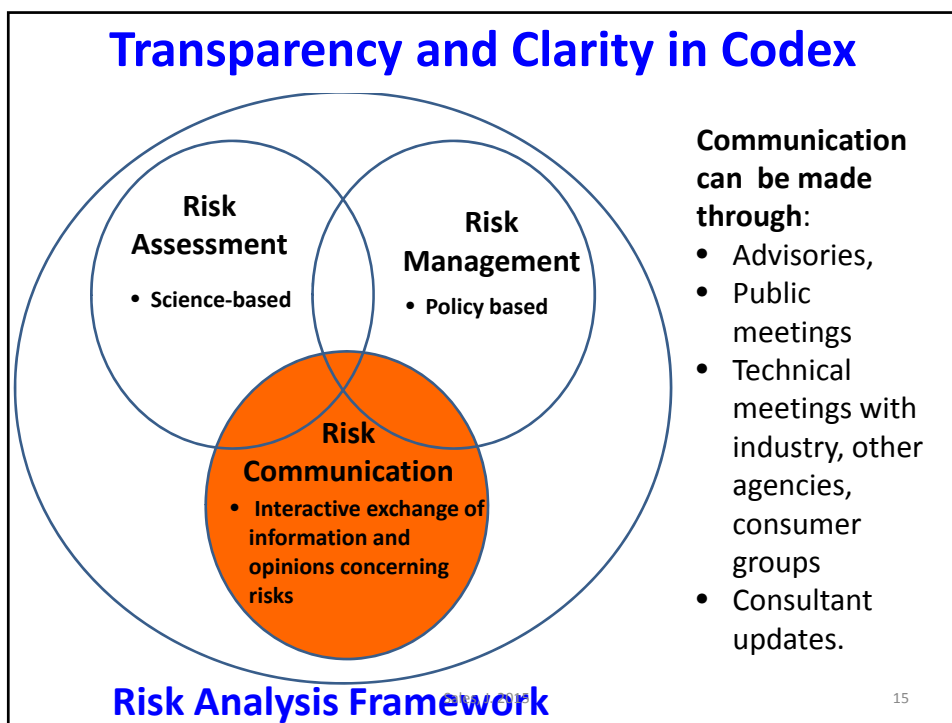
Codex standards are science based.



Efficiency in Codex







Transparency and Clarity in Codex

- **Codex Procedural Manual** laid down the procedure for the development of standards, guidelines, codes of practice, and other related texts
- All plans for new work and revisions of existing work as well as on-going activities are **circulated to Members** for review and comments.
- Active Codex members help countries to compete in sophisticated world markets and to improve food safety for their own population.
- At the same time, exporters know what importers demand, and importers are protected from substandard shipments.

CODEX ALIMENTARIUS
Joint FAO/WHO Food Standards Programme

CODEX ALIMENTARIUS COMMISSION
PROCEDURAL MANUAL
Twenty-third edition

Food and Agriculture Organization of the United Nations World Health Organization

16

Sales, J. 2015

Transparency and Clarity in Codex

The screenshot shows the website www.codexalimentarius.org. The main heading is 'CODEX ALIMENTARIUS International Food Standards'. Below the heading, there are logos for the World Health Organization and the Food and Agriculture Organization of the United Nations. The page features a navigation menu on the left, a search bar, and a main content area titled 'Codex Standards'. This area includes a table showing the availability of Codex texts by type and language.

Type of Text	EN	FR	ES	AR	ZH	RU
Codes of practice	51	49	49	17	14	21
Guidelines	73	66	66	20	41	18
Misc	4	3	3	1	0	1
MRLs	1	1	1	0	0	0

Minimal Restrictiveness and Equity in Codex

- Equity means regulations should be fair and treat those affected equitably.
- Access of all Members to Codex work, documents and activities **favors minimal restrictiveness and equity** as **everybody is given equal chance** to review and comment on the standards, guidelines, codes of practice to be developed, being developed, to be revised, being revised, and/or to be deleted.

Effectivity and Impact Assessment of Codex standards

Risk Analysis Framework

- An important aspect of risk communication is to assess **effectiveness of standards**.
- **Impact assessment studies** to evaluate the effects of risk communication on consumers. e.g. to evaluate compliance with the standard.

Sales, J. 2015
19

Monitoring and Reviews in Codex

- Home
- About Codex
- Members & Observers
- Committees & Task Forces
- Meetings & Reports
- Circular letters
- Information Documents
- Standards
 - List of standards
 - GSFA online (food additives)
 - Veterinary Drugs MRLs
 - Pesticide MRLs
 - Thematic compilations
- FAO/WHO Trust Fund
- Procedures & Strategies
- Scientific basis for Codex
- Contact & Links

Codex Standards

All Codex texts are publicly available from this website:

All standards, guidelines, codes of practice and advisory texts that compose the Alimentarius are available from the [List of standards](#).

The numerical Codex standards for [food additives](#), [veterinary drugs maximum residue levels](#) and [pesticide maximum residue levels](#), can also be accessed via databases that facilitate their use.

[Thematic compilations](#) of Codex texts can be downloaded or ordered here.

The availability of Codex texts on this website, for type of text and for language, is automatically updated in the table below:

[show % / values](#)

Type of Text	EN	FR	ES	AR	ZH	RU
Codes of practice	51	49	49	17	14	21
Guidelines	73	66	66	20	41	18
Misc	4	3	3	1	0	1
MRLs	1	1	1	0	0	0
Standards	213	188	196	80	99	82
TOTALS	340	307	315	118	154	122

FAO/WHO Scientific Basis Codex

- [JECFA](#)
- [JMPR](#)
- [JEMRA](#)
- [Other scientific advice](#)

Latest news

- [New Codex Standards online](#)
17 Aug 2015 - Post Commission is a time for the Codex Alimentarius Secretariat to take part in this two part [...]
- [August news round up](#)
3 Aug 2015 - There is still a lot going on in the Codex world this August. Here is a summary [...]
- [CAC38 Final Report published](#)
27 Jul 2015 - The English language version of the Final Report of the 38th Session of the Codex [...]
- [Risk Communication at SPS](#)
16 Jul 2015 - This week in Geneva, the Codex Secretary is taking part in a workshop run by [...]
- [CAC38 closes in Geneva](#)
11 Jul 2015 - The 38th Session of the Codex Alimentarius Commission has come to a close in Geneva. 36 [...]

News & Events

Summary

- Codex are international food standards, guidelines, codes of practice, and related information which contribute to the safety and quality of food and fairness in the international food trade.
- The guiding principles of Good Regulatory Practice are consistent and evident in Codex work.
- Therefore, Codex standards, guidelines, code of practice and related information can be **powerful instruments** in the establishment of good regulatory practice which can be adopted and/or adapted by national authorities.

Sales, J. 2015

21

Disclaimer:

The information within this presentation is based on the presenter's expertise and experience, and represents the views of the presenter for the purposes of this workshop.

**Maraming salamat po.
(Thank you.)**

Sales, J. 2015

22

References

- APEC Information Notes on Good Practice for Technical Regulation. September 2000. 30 pp.
- ASEAN Good Regulatory Practice (GRP) Guide. Endorsed by the 2/40 SEOM Meeting. 2-4 February 2009, Bangkok, Thailand. 9 pp.
- ASEAN-OECD Good Regulatory Practice Conference 2015: Connectivity, Competitiveness and Regulatory Coherence. Institutional Connectivity to Support Regional Economic Integration. 10-11 March 2015, Kuala Lumpur, Malaysia .
- FAO/WHO. 2015. Codex Alimentarius Commission Procedural Manual. 23rd ed. 228 pp.
- Food Industry Asia. 2015. Good Laboratory Practice Essential in the Implementation of AEC. <http://foodindustry.asia/>.
- Guidance for Risk Management Options in Light of Different Risk Management Outcomes. INF_CCCF_e. 12 pp.
- ISO. Using ISO and IEC standards to support public policy. www.iso.org.
- Implementing Good Laboratory Practice in Malaysia. <http://www.oecd.org/regreform/implementing-good-laboratory-practice-in-malaysia>.
- Kauffman, C. 2014. The OECD Perspective on Good Regulatory Practices and International Regulatory Cooperation -Regulatory Cooperation: A Good Laboratory Practice.
- Laurenco, C. 2014. Good Laboratory Practices. Therapeutic Products Department, Health Canada.
- Ministry of Business, Innovation and Employment. Economic Development Information. Code of good laboratory practice. <http://www.med.govt.nz/business/-better-public-services/regulatory-reform>.
- Standards Alliance . North American Conference on Good Laboratory Practice. December 10-11, 2014. Mexico City, Mexico.
- Sugano, M. 2014. Japan's Experience and Perspectives on Good Regulatory Practice.
- The Brazilian Guide on Good Laboratory Practice,
- TBT Committee on Voluntary Guidelines as of October 2014.