



**Asia-Pacific
Economic Cooperation**

2019/SOM3/ACT/NET/WKSP/001

Agenda

Submitted by: Chile



**Workshop for Law Enforcement Agencies on
Effectively Using Corporate Compliance Programs
to Combat Domestic and Foreign Bribery
Puerto Varas, Chile
18-19 August 2019**



ACT Net Workshop for Law Enforcement Agencies on Effectively Using Corporate Compliance Programs to Combat Domestic & Foreign Bribery

August 18 – 19, 2019.

Dreams Hotel, Los Volcanes, Del Salvador 21, Puerto Varas

Chile

Final Agenda

DAY ONE

***The Evolving Use of Corporate Compliance Programs by Law Enforcement:
Learning from International & Domestic Practices***

Sunday, August 18th

8:30 - 9:00 am	Registration and Arrival
9:00 - 9:10 am	<p>Welcoming Remarks Marta Herrera Seguel, <i>Director, Specialized Anticorruption Unit of the Public Prosecutor's Office of Chile</i></p> <p>Welcome address and background of Best Practices Project as part of the APEC Network of Anti-Corruption Authorities and Law Enforcement Agencies.</p>
9:10 - 9:30 am	<p>Workshop Objectives & Overview Lisa Bhansali, <i>Professor, Georgetown University Law Center, Independent Consultant.</i></p> <p>A review of the agenda, objectives and expected outcomes of the Workshop, including defining "Best Practices" for a Guidebook to be shared with APEC authorities.</p>
9:30 - 10:30 am	<p>How International Organizations are Setting Standards for Law Enforcement to Evaluate the Use of Corporate Compliance Programs William Loo, <i>Deputy Head of Anti-Corruption Division, Organization for Economic Co-operation & Development (OECD).</i></p> <p>Description of OECD standards on corporate compliance and as applied through the UK Bribery Act, with a focus on key elements crucial to law enforcement agencies in combatting corruption.</p>
10:30 - 10:45 am	Morning Coffee Break
10:45 - 11:30 am	<p>World Bank Anticorruption Guidelines and the United Nations Convention Against Corruption, including Principles on Corporate Compliance Lisa Bhansali, <i>Professor, Georgetown University Law Center, Independent Consultant.</i></p>

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	<p>Shervin Majlessi, Deputy Coordinator of the StAR Initiative and UNODC.</p> <p>Review of the (i) World Bank's prevention, investigation, referral and corporate compliance mechanisms and (ii) the Principles of the United Nations Convention Against Corruption (UNCAC) on corporate compliance. How have these international organizations changed to incorporate stronger compliance requirements for development and to address corruption?</p>
11:30 am - 1:30 pm	<p>Prosecuting Bribery and the Application of Corporate Compliance Programs: A Review of Comparative Systems.</p> <ul style="list-style-type: none"> • U.S.: Sally Molloy, Chief of the Strategy, Policy & Training Unit of the Fraud Section of the U.S Department of Justice. • Chile: Ximena Chong, Chief Prosecutor at High Profile, Complex Cases, Public Prosecutor's Office. • Malaysia: Premraj Victor, Section Head- Financial Analysis Division, Malaysian Anti-Corruption Commission. • Peru: Martín Felipe Salas Zegarra, Senior Anti-Corruption Prosecutor of Lima, Public Prosecutor's Office. • Hui Chen, Independent Compliance Expert and Founder of HuiChenEthics.com and Distinguished Research Fellow, Rutgers University Law School. <p>Moderator: Hui Chen</p> <p>Provide insights into prosecuting bribery and the role of corporate compliance programs, including factors considered by police, prosecutors and other law enforcement officials.</p>
1:30 - 3:00 pm	Lunch
3:00 - 4:00 pm	<p>Criminal investigation of Bribery and Corporate Compliance: Good practices</p> <ul style="list-style-type: none"> • Chile, Pietro Hernandez, Head of the Anticorruption Bureau, Investigative Police Force. • Australia: Cameron Watts, National Team Leader, Anti-Corruption & Organized Crime, Australian Federal Police. • Vietnam: Bui Minh Duc, Officer, Police Bureau for Investigating Corruption and Economic Crimes, Ministry of Public Security. <p>Moderator: Shervin Majlessi</p> <p>Description on law enforcement criminal investigations on domestic and foreign bribery</p>



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	by checking the effectiveness of Corporate Compliance Programs.
4:00 - 4:15 pm	Afternoon Coffee Break
4:15 - 5:45 pm	<p>Economies' Law Enforcement Efforts to Provide Incentives for Companies to Adopt Compliance Programs and other Anticorruption Tools</p> <ul style="list-style-type: none"> • US: <i>Sally Molloy, Chief of the Strategy, Policy & Training Unit of the Fraud Section of the U.S Department of Justice.</i> • Chile: <i>Hernán Fernández, Deputy Director, Specialized Anticorruption Unit, Public Prosecutor's Office.</i> • Canada: <i>Stephane Hould, Senior Counsel at the Public Prosecution Service (PPSC).</i> • Singapore: <i>Neo Siong Leng, Senior Assistant Director, General Investigation Branch 2, CPIB & Grace Lim, Deputy Public Prosecutor, Criminal Division, Attorney-General's Chambers.</i> • Hong Kong: <i>Daphne Lim, Principal Investigator of the Independent Commission Against Corruption (ICAC).</i> <p>Moderator: William Loo</p>
	End of Day One



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DAY TWO

*Challenges for Private Sector and Strengthening
Public Prosecution of Corruption in APEC Economies*

Monday, August 19th

8:30 - 9:00 am	Registration and Arrival
9:00 - 9:10 am	Opening Remarks Jorge Abbott , <i>National Prosecutor, Public Prosecutor's Office of Chile.</i>
9:10 – 10:15 am	<p>Creating & Overseeing a Dynamic Corporate Compliance Program</p> <ul style="list-style-type: none"> • Hui Chen, <i>Independent Compliance Expert and Founder of HuiChenEthics.com and Distinguished Research Fellow, Rutgers University Law School</i> • Reyna Torrecillas, <i>GE's Chief Compliance Officer for Latin America.</i> • Leigh Anne Leinen, <i>BHP's Head of Compliance for the Americas.</i> <p>Moderator: Lisa Bhansali An in-depth look into how international corporations have established and managed compliance programs, including successes and challenges of enforcing an effective program.</p>
10:15 – 10:30 am	Group Photo
10:30 - 10:45 am	Morning Coffee Break
10:45 am - 12:00 pm	<p>The Use of Corporate Compliance Programs to Avoid Criminal Liability: Private Sector Experience from Chile</p> <ul style="list-style-type: none"> • Gonzalo Smith, <i>Chief Legal and Governance Officer of Falabella.</i> • Luis Hernán Paul, <i>Consultant and board member of several companies.</i> • Marcela Paiva, <i>Chilean Focal Point for the OECD, Responsible Business Conduct Department, Undersecretary of International Economic Relations</i> <p>Moderator: Claudia Ortega Chilean experience since the implementation of criminal liability of legal persons in 2010, and how a compliance culture has been introduced in Chilean companies.</p>

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12:00 pm – 12:30 pm	<p>Public and Private Partnership, working together to build a compliance culture: Australian experience.</p> <ul style="list-style-type: none"> • Cameron Watts, National Team Leader, Anti-Corruption & Organized Crime, Australian Federal Police. • Leigh Anne Leinen, BHP's Head of Compliance for the Americas.
12:30 - 02:30pm	Lunch
02:30 - 4:00 pm	<p>Corporate Compliance Programs and Challenges to the Private Sector: Seeking to Meet Expectations</p> <ul style="list-style-type: none"> • Susana Sierra, Partner and Executive Director, BH Compliance. Member of the board of Correos de Chile. Part-time professor at the Pontifical Catholic University of Chile (PUC) and University of Los Andes, teaching compliance and entrepreneurship. • Alejandro Ferreiro, member of the board of Espacio Público (Think Tank). • Fernando Coloma, Professor, Institute of Economics at the Pontifical Catholic University of Chile. • Matt Galvin, Vice President of Ethics and Compliance at Anheuser-Busch InBev (AB InBev.) • Zhang Zhaogang, Chairman of China State Development & International Cooperation (SDIC) International Trade Co., Ltd. <p>Moderator: Susana Sierra</p>
4:00- 4:15 pm	Afternoon Coffee Break
04:15 – 05:15 pm	<p>Practical Dialogue</p> <p>Mixed tables of public and private sectors to discuss case scenario and questions provided by the Workshop Moderator. Participants will have the chance to discuss, challenge and apply information presented during the previous sessions of the Workshop. Rapporteurs from the tables will present conclusions of their discussions.</p> <p>Moderator: Matt Galvin</p>
5:15 - 5:45 pm	<p>Conclusions of Workshop and Path to the Guidebook</p> <p>Lisa Bhansali</p> <p>This session aims to wrap up what was discussed in the two-day workshop, with preliminary outline of the contents of the Guidebook.</p>
5:45 - 6:00 pm	Workshop Evaluation & Closing Remarks



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Resource Persons List and Brief Bios

JORGE ABBOTT CHARME has been Chile's General Prosecutor since December 2015, when he was named to the position by President Michelle Bachelet. Prior to his confirmation, he served as the National Executive Director of the Public Prosecutor's Office and the Regional Prosecutor of Valparaiso. Mr. Abbott also served as the General Director of the Legal Aid Chilean Service (Corporación de Asistencia Judicial) for the Valparaiso region. He has had years of experience with the Prosecutor's Office, where he worked from 2003-2010. From 2011-2014, Mr. Abbott was in private practice. He studied law at the Pontifical Catholic University of Valparaiso, graduating in 1979.

MARTA HERRERA SEGUEL is the Director of the Specialized Unit on Anti-Corruption of the Public Prosecutor's Office of Chile. Ms. Herrera is the leader of a team of lawyers and accountants who provide assistance to the investigation and prosecution of high-level complex corruption cases. She has been working for more than 10 years on corruption cases and has been involved in policy decision-making. Ms. Herrera frequently participates in international conferences in Chile and abroad. She holds a law degree from the University of Chile and a Masters of Law from the California Western School of Law. For the past five years, Ms. Herrera has also been a professor at the University of Chile. Ms. Herrera has been a Project Overseer (PO) for a multi-year project funded by APEC (2013-2015) and is PO of the project which includes this Workshop. She is currently the 2019 APEC ACTWG and ACT Net Chair.

LISA BHANSALI is the International Consultant working with the APEC Secretariat and the Public Prosecutor's Office of Chile for this Workshop. Ms. Bhansali worked for the World Bank Group for 18 years on public and private sector corruption, including serving as the first regional Governance and Anticorruption Adviser for the institution. She was also a Lead Integrity Advisor with the Institutional Integrity (INT) Vice-Presidency and a Senior Public Sector Specialist, focusing on anticorruption, corporate compliance, rule of law, gender inclusion, criminal justice and institutional strengthening in the security sector. Her work included conducting training for both public and private sectors, in collaboration with such entities as the International Monetary Fund, the Anticorruption and Civil Rights Commission (ICRC) of South Korea, India's Export-Import Bank, and Peru's Controller General, among many others. Ms. Bhansali also served as a Senior Counsel in the World Bank's Legal Vice-Presidency. From 1996-2001, she worked at the Inter-American Development Bank and previously served as a Political Affairs Officer for the United Nations in South Africa, Mexico and Guatemala. Ms. Bhansali received her B.A. from the University of Michigan; holds a Master's in Public Policy from Columbia University, and studied Law at Georgetown University. She is admitted to practice in the State of New York, District of Columbia and before the United States Supreme Court. Ms. Bhansali was named a *Wasserstein Fellow in Public Interest Law* at



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Harvard Law School, and in addition to advising on international development, she is a professor at Georgetown University's Law Center (since 2007).

HUI CHEN served as the first Compliance Counsel Expert at the United States Department of Justice (DoJ). Ms. Chen worked with federal prosecutors in the Fraud section, reviewing corporate ethics & compliance programs in areas such as anti-bribery, among many others. In the area of monitoring, Ms. Chen worked on such landmark cases as Petrobras, Tenet Healthcare, VW and BP Deepwater Horizon. She is the author of the well-known *Evaluation of Corporate Compliance* publication, and conducts training on compliance monitoring. Taking her experience with the U.S. Government, Ms. Chen currently consults with regulatory & enforcement authorities and companies around the world on corporate ethics and compliance programs. Prior to her work with the DoJ, Ms. Chen served as a senior compliance leader in industries such as technology (Microsoft), pharmaceutical (Pfizer), and financial services (Standard Chartered Bank).

XIMENA CHONG CAMPUSANO is a Prosecutor of high-level, complex cases for the Metropolitan Region (Center-North) of Chile's Public Prosecutor's Office, where she has worked since 2003. Ms. Chong previously served as the Chief Prosecutor in Valdivia and has collaborated with specialized prosecutors on economic crimes and corruption. Ms. Chong often engages with the private sector and political class of Chile, while also maintaining the responsibility to oversee other high-level public officials, through investigations and/or oral arguments in court. Her cases have dealt with illegal trafficking of migrants, torture and protection of victims. As a result, Ms. Chong also participates in the design and implementation of public policies which seek to establish the early detection of risks and adoption of protective measures for victims, witnesses and complainants. As part of the management team, she has emphasized the need to implement efficient measures of protection given the legal duties to oversee those who would be subject to criminal processes, while still guaranteeing the best result possible from investigations. Ms. Chong has been involved with a number of regional prosecutors' offices and the National Prosecutor of Chile. She is also the focal point for the Network of Anticorruption Prosecutors of the Iberoamerican Association of Public Prosecutors. Ms. Chong is also a professor in several universities and is active as a counsel to women's community groups, including serving as an advisor to the Association of Women Lawyers.

FERNANDO COLOMA CORREA is a Full Professor at the Institute of Economics at the Pontificate Catholic University of Chile. He is a Commercial Engineer with *Mention in Economics* from the Pontificate Catholic University of Chile and holds a Master's of Arts in Economics from the University of Chicago. Since 1980, he has been a Research Professor at the Institute of Economics (currently a Professor) of the Pontificate Catholic University of Chile. He has taught several courses and authored numerous publications, particularly in the fields of public policy, competition and market regulation, as well as labor economics. During his professional career, he has been chairman of the Channel 13 Council, member of the Labor and Equity Presidential Advisory Council, and has also served as an international consultant and expert in rate setting processes in the Telecommunications sector. He was Superintendent of Securities and Insurance



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between 2010-2014. He has also served as a member of the Group of Experts convened by the Ministry of Finance at the end of 2014 to strengthen the institutional framework for the proper functioning of the capital market, and currently is a member of the Advisory Council on Capital Markets. Mr. Coloma is also Director of *Chile Transparente*, the Chilean Chapter of Transparency International and a member of the boards of several companies. He has received the *Inbest-El Mercurio 2014* Award for his contribution to the development of the Chilean capital market and the internationalization of the local financial sector. He also received the 2014 Outstanding Professional Award, granted by the Graduates Foundation of the Faculty of Economic and Administrative Sciences of the Pontificate Catholic University of Chile.

HERNÁN FERNÁNDEZ ARACENA, an attorney, graduated from Pontificate Catholic University of Chile. Currently, he is finishing a Master's in Corporate Law at "Universidad de Los Andes". He has been the Deputy Director of the Specialized Anticorruption Unit of the Public Prosecutor's Office of Chile since 2004. He is a frequent speaker at different workshops, national seminars, and international activities related to integrity, corruption crimes and criminal liability of legal persons.

ALEJANDRO FERREIRO YAZIGI is a lawyer from the University of Chile and holds a Master of Arts from the University of Notre Dame. He served as the Minister of Economy in Chile between 2006-2008. He has also held positions in the Chilean public sector, including Superintendent of Health Insurance Companies (1996-2000); Superintendent of Electricity and Fuels (2000); Superintendent of Social Security Funds Administrator (2000-2003); President of the Risk Classification Commission (2000-2003); Member of the Antitrust Commission (2003-2004); and Superintendent of Securities and Insurance (2003-2006). Mr. Ferreiro has also been a member of the Board of Chile's Transparency Council (2008 -2014) and its President (2011-2013). He has been a professor in several Chilean universities on issues related to economic regulation, pension systems, corporate governance and public ethics. He has participated in several presidential advisory commissions on matters of social security, health, public sector ethics, financial supervision and decentralization. He currently serves as a member of several boards of private companies and is a board member of *Espacio Público* (Public Space), a Chilean think tank. He was named a Young Global Leader in 2005 by the World Economic Forum and been recognized for his work on strengthening transparency.

MATHEW GALVIN is the Global Vice President for Ethics and Compliance for Anheuser-Busch InBev (AB-InBev). Mr. Galvin leads a team which covers Anti-Corruption, competition, litigation, sanctions, anti-money laundering, data privacy, human rights and internal investigations. He is recognized as a leader in the application of data analytics to solve compliance problems and streamline compliance systems and processes. Mr. Galvin is a New York and Hong Kong qualified lawyer, who spent 10 years in private practice before joining AB-InBev in 2015.

PIETRO HERNANDEZ is the Head of the Anticorruption Bureau, Investigative Police Force of Chile. He has worked in the police force for 25 years, mainly on economic crime with investigation squads. He holds a degree as an accountant auditor from the University of Tarapacá, and different specialization degrees in money laundering, economic crimes, and human rights. He is currently studying for a Master's in Control



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and Management of Corporate-Financial Risk at the Central University of Chile and teaches at the Investigative Police Academy.

STEPHANE HOULD obtained his law degree from the University of Ottawa in 2001 and joined the Department of Justice in Canada through the Legal Excellence Program. During the first five years, he worked as prosecutor (then litigator) in Labor and Employment law. From 2007-2014, he was a prosecutor with the Competition Law section of the Public Prosecution Service of Canada (PPSC), where he led several complex and large-scale conspiracy and deceptive marketing prosecutions against corporations and individuals. He has worked on prosecutions involving wiretaps and cooperation agreements with defendant firms and has appeared at all levels of courts, including the Supreme Court of Canada. In 2015, Mr. Hould joined the Cartels Directorate at the Competition Bureau of Canada as Deputy Commissioner until 2017. In 2018, he moved to the Competition Bureau's Legal Services as Senior Counsel before returning to the PPSC in 2019. In his current role, he is responsible for negotiating and overseeing remediation agreements (also known as Deferred Prosecution Agreements or DPAs) with firms accused of corruption, fraud and other serious economic crimes. Mr. Hould has lectured at law faculties, the Canadian Bar Association and several domestic and international fora on competition law, economic crimes and corporate criminal liability.

WILLIAM LOO is the Deputy Head and a Senior Legal Analyst with the Anti-Corruption Division, Directorate of Financial and Enterprise Affairs at the Organization for Economic Co-Operation and Development (OECD). His principal responsibilities include monitoring the implementation of the OECD Anti-Bribery Convention, mainly through supporting the country evaluations conducted by the OECD Working Group on Bribery in International Business Transactions. Prior to joining the OECD, Mr. Loo served as a prosecutor with the Department of Justice in Canada.

SHERVIN MAJLESSI is currently a Senior Financial Sector Specialist and the Deputy Coordinator of the Stolen Asset Recovery Initiative (StAR), a partnership between the United Nations Office on Drugs and Crime (UNODC) and the World Bank Group (WBG). Until April 2015, Mr. Majlessi served as the UNODC Regional Anticorruption Advisor for Southeast Asia and the Pacific, based in Bangkok. He was primarily responsible for the implementation of anticorruption technical assistance, including policy advice to economies on the provisions of the UN Convention Against Corruption (UNCAC). Mr. Majlessi has also served in UNODC's New York office and at the Office of the High Commissioner for Human Rights in Geneva. Prior to joining UN, he served as Deputy Counsel for the Independent Inquiry Committee into the UN Oil-for-Food Programme (Volcker Committee). Mr. Majlessi holds a PhD in Civil Law and a Masters of Law in International Economic Law from McGill University, Canada. Mr. Majlessi also holds a Master's of Law (LL.M) in International Finance from Harvard Law School. His Bachelors of Law is from the University of Tehran.

LEIGH ANNE LEINEN is Head of Compliance for the BHP for the Americas. Focusing most recently on Mexico and Ecuador, Leigh Anne and her team at BHP have sought to change the corruption landscape in high-risk countries through the highest levels of governance, conveying the costs of corrupt behaviour to



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vendors and partners and encouraging business stakeholders not to compromise long investment for short-term gain. She is a CPA in the United States and has supported the Petroleum and Mining industries for twenty years, gaining experience in financial internal controls, transactions and ethics & compliance.

DAPHNE LIM is the Principal Investigator of the Operations Department of Independent Commission Against Corruption of Hong Kong (ICAC). Ms. Lim joined the ICAC in 1991 as an Assistant Investigator after working in the private sector in the banking and investment fields. She rose to become Chief Investigator in 2007, and currently holds the position of Principal Investigator (since 2012). Ms. Lim has worked on both public and private sector corruption cases, and served with the Secretariat of 3rd and 6th ICAC Symposium. Ms. Lim now works on corruption issues in banking sector.

GRACE LIM is a Deputy Director in the Criminal Division of the Attorney-General's Chambers in Singapore. Her team specialises in the prosecution of corruption offences, focusing on prosecuting both bribe givers and receivers in high-profile trials. She has argued significant corruption appeals cases in the High Court of Singapore. Ms. Lim graduated with a Bachelor of Laws from the National University of Singapore and holds a Master of Laws from the University of Pennsylvania.

BUI MINH DUC Bui Minh Duc is a Senior Lieutenant and Officer with the Police Bureau of the Vietnamese Ministry of Public Security, where he has been focusing on the investigation of corruption and economic crimes for 7 years. He holds a Bachelor of Law from the People's Police Academy of Vietnam and a Bachelor of Economics from the Foreign Trade University of Vietnam.

SALLY MOLLOY is the Chief of the Strategy, Policy, and Training Unit of the U.S. Department of Justice's Criminal Division, Fraud Section. Ms. Molloy and her team work closely with the Fraud Section's three litigating units – (i) the Foreign Corrupt Practices Act (FCPA) Unit, (ii) the Health Care Fraud (HCF) Unit, and (iii) the Securities & Financial Fraud (SFF) Unit – on corporate charging decisions and resolutions and post-resolution matters, including corporate monitorships. Prior to joining the Fraud Section in 2016, Ms. Molloy served as an Assistant U.S. Attorney in the Northern District of Georgia for eight years, where she handled a variety of fraud and bribery-related cases against individuals and companies. Ms. Molloy joined the DOJ through the Attorney General's Honors Program in 2004 and worked for the Antitrust Division's Atlanta Criminal Field Office for four years.

CLAUDIA ORTEGA FORNER is a Senior Legal Advisor with the Specialized Anticorruption Unit of the Public Prosecutor's Office of Chile. She holds a law degree from the University of Chile and a Diploma degree in Economic Crime. Ms. Ortega participates in the investigation and prosecution of high-level complex corruption cases and corporate liability. She also participates as the Chilean Delegate before the APEC Anti-Corruption and Transparency Working Group and the Anticorruption and Transparency Law Enforcement Network. She is currently the ACTWG and ACT - Net Chair's Assistant. Ms. Ortega is in charge of organizing anticorruption training within the Public Prosecutor's Office. She has also participated as a speaker on various corruption-related topics in Chile and abroad.



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MARCELA PAIVA is the Head of the Responsible Business Conduct Division at the Undersecretary of International Economics Relations in Chile. She holds a law degree from the University of Chile, with more than 10 years of experience in trade policy, development and international negotiations. She is currently the National Contact Point of Chile for the OECD Guidelines for Multinational Enterprises and works promoting Responsible Business Conduct standards, including the implementation of the OECD Ant bribery Convention.

LUIS HERNAN PAUL holds a Civil Engineering degree from the Catholic University of Chile. He also completed a Master's degree in Business Administration from the Sloan School of Management of the Massachusetts Institute of Technology. Currently, he is a Professor of Corporate Governance of the MBA Program and of the Corporate Governance Diploma of the Catholic University of Chile. Mr. Paul is a well-known advisor to Chilean businesses, including serving as an advisory board member of CGE, Compliance and Laboratories. He is also board member of several companies and serves on the board of the Center of Corporate Governance of Catholic University. He advises many companies on the improvement of their corporate governance and management.

MARTIN FELIPE SALAS ZEGARRA is a Senior Assistant Prosecutor with the Office of the Public Prosecutor of Peru. Mr. Salas has over 17 years of experience and focusses on the prosecution of high-level corruption cases with a focus on complex and emblematic cases. He is a specialist in criminal compliance issues and has undertaken several studies in Canada and elsewhere in auditing and the implementation & management, including ISO 19000. He also holds a post-graduate diploma in senior management and strategic decision-making. Mr. Salas obtained his law degree from the Catholic University of Saint Mary in Peru and hold a Master's degree in Criminal Sciences as well as a Doctorate in Law from the San Martin de Porres University, Peru.

SUSANA SIERRA is a Business Administrator at the Pontificate Catholic University of Chile (PUC), where she received her MBA as well. Ms. Sierra has vast experience with the crime-prevention-model certifications for companies. She is one of the most outstanding professionals in this field at a national level, well-known for her recognized contribution in promoting the culture of doing things correctly among firms in the private sector. She is the Executive Director at BH Compliance, a company she co-founded, which occupies a relevant place among crime-prevention certification companies in Chile. She has participated in several domestic and international compliance conferences. Internationally, and is currently a part time professor at the Pontificate Catholic University of Chile (PUC) and Universidad Los Andes. In 2015 she was given an outstanding mention in the newspaper *El Mercurio* for being among 100 leading women and among 100 young leaders in the country. In 2018 she won two important international awards, the first Women in Compliance Awards, in the Category Lifetime Achievement for Service to the Compliance Industry and IWF (International Women's Forum) awards "Woman Who Makes a Difference 2018". Since May 2016, Ms. Sierra is a member of the Board of *Chile Transparente*, the Chilean chapter of Transparency International where she holds a seat as Vice-president and also board member in the Chilean Post Office: *Correos de Chile*.



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NEO SIONG LENG joined the Public Service and the Corrupt Practices Investigation Bureau (CPIB) of Singapore in 2000. In a career spanning 19 years, he has overseen different complex corruption investigations involving both the public and private sectors. For his dedication to duty and contributions to the Public Service, he was awarded a National Commendation Medal in 2016. Currently, he is the Senior Assistant Director in charge of General Investigations in the CPIB. Mr. Neo Siong Leng has successfully led his dynamic team in achieving positive outcomes in several high profile corruption cases. Besides his core investigation work, Siong Leng also endeavours to prevent corruption in various industries and business sectors through relentless outreach efforts.

GONZALO SMITH is currently the Chief Legal & Governance Officer and Corporate Secretary of *Falabella S.A.*, Chile's largest corporation measured by market capitalization and one of Latin America's largest retailers. Falabella, S.A. includes businesses in 7 countries, covering department store, home improvement, supermarket, banking and shopping mall industries, among others. Mr. Smith is admitted to practice law in Chile and in the United States. He holds an LLB from Pontificate Catholic University of Chile, a Master's of Law from Harvard Law School, and an MBA from the University of Arkansas. He is a co-chair and professor of the postgraduate program on Compliance and Good Corporate Practices of the Pontificate Catholic University of Chile and a member of the Steering Committee of the Corporate Sustainability Center of its Law School. He is also a board member of *Icare*, a reputable, independent Chilean organization devoted to the promotion of excellence in business. Prior to joining *Falabella*, Mr. Smith was Vice President & General Counsel of Walmart Latin America; General Counsel and Corporate Secretary of Walmart Chile; and an associate at the law firms *Carey y Cía* (Chile), *Davis Polk & Wardwell* (New York) and *Cuatrecasas* (Madrid). In 2015 he was recognized as Chile's best General Counsel by *Idealis* and *Qué Pasa* magazine, and in 2019 he was recognized by Chambers & Partners as one of the 100 most influential General Counsels in the world.

REYNA TORRECILLAS is the Chief Compliance Officer for Latin America at GE (General Electric). She works in partnership with the Compliance Executive Team for GE-wide compliance operations and is responsible for the execution of GE's *One Compliance* Strategy, aligned to business and regional risks. Ms. Torrecillas is a former member of the Corporate Audit Staff, and GE's premier leadership development program. She has also served as the VP & Chief Compliance Officer and Head of Internal Audit for the GE Money *Colpatria* Bank, JV in Colombia and later became a Senior Manager of Compliance in GE Corporate. In 2013, she was appointed Chief Compliance Officer- Latin-America. Ms. Torrecillas received GE's Vikram Singh leadership award for outstanding compliance contributions and attended various international fora, including the FBI Compliance Academy sponsored by the SCCE in Washington DC (2017). Ms. Torrecillas graduated with honors as an Information Systems Engineer from the Monterrey Technological Institute & Advanced Studies in Mexico (ITESM).

PREMRAJ VICTOR is a Senior Assistant Commissioner with the Malaysian Anti-Corruption Commission (MACC), where he has worked since 2005. He is an Accountant by profession and a Member of the



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Chartered Institute of Management Accountants (UK). Mr. Victor also holds a Master's in Economic Crime Management and is accredited as a Certified Fraud Examiner (US). His experience in MACC is diverse, having been on the front lines of operations (e.g. investigation, forfeitures and forensic accounting). He is also experienced in international relations, public policy, money laundering and training. He has also been appointed as a Government Expert by the Malaysian Government in the UNCAC second round Peer Review Mechanism. In addition, Mr. Victor has been a Primary Investigation Officer, member of investigation task forces, and has supervised many high profile, public and private sector investigations.

CAMERON WATTS has been an Agent with the Australian Federal Police (AFP) since 2007 and has served across a variety of commands and crime types, inclusive of response policing, criminal investigations, counter-terrorism operations, operational support, Anti-Corruption and external agency liaison. In 2012, Agent Watts launched the first AFP policy on countering violent extremism and a led strategic engagement across diverse communities and governments to fight violent extremism. Agent Watts has also represented the AFP as a liaison to other government agencies and as the AFP representative to the office of the federal Minister for Law Enforcement. He is currently the National Team Leader of Anti-Corruption for the AFP, which encompasses three dedicated teams across Australia to investigate foreign bribery. In his time within the Anti-Corruption portfolio, he has led the AFP's response to the OECD Phase 4 Review of Australia's compliance with the Anti-Bribery Convention, provided strategic advice and guidance on the development of new legislation to combat foreign bribery, and led the AFP's engagement with the private sector which has resulted in a public-private partnership with several of Australia's largest corporations to better combat foreign bribery.

ZHANG ZHAOGANG serves as a Senior Professor in Engineering. He is a graduate of Tsinghua University, where he earned a Bachelor of Engineering in hydraulic machinery, and of the Cheung Kong Graduate School of Business, where he obtained his MBA degree. Formerly, he served as the Deputy General Manager of Yalong River Hydropower Development Co., Ltd. Currently, he is the Chairman of China State Development & International Cooperation (SDIC), International Trade Co., Ltd. With over 30 years of enterprise management experience, Mr. Zhang is responsible for business operations and the administration of the company.