Transparency Tools to Enhance Internal Control and Probity

Submitted by: Chile
TRANSPARENCY TOOLS TO ENHANCE INTERNAL CONTROL AND PROBITY

Eugenio Rebolledo Suazo, Government`s Auditor General

The Role of Government implementing integrity programs and transparency through electronic procedures

February 27, 2019
Government General Internal Auditing Council - CAI/GG

Function

It is an advisory body to the President of the Republic, created by Supreme Decree No. 12 of 1997, modified by Supreme Decree No. 147 of 2005; both of the Ministry General Secretariat of Presidency

Current Structure

Council

Claudio Alvarado, Presidente, Subsecretario SEGPRES;

Francisco Moreno, Vicepresidente, Subsecretario de Hacienda;

Rodrigo Cerda, Director de DIPRES;

José Tomás Ruiz-Tagle, Counselman from Presidency;

José Monsalve; y Jorge Vio, ad honorem counsilmen.

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Internal Audit

Internal Control

Risk Management

Probity

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CAIGG`s approach for 2018-2022

Reinforce cultural changes in matters of internal control + involvement of the Chiefs of Public Services.
Regulations and principles in Chile

**Probit in Public Sector**
Law N° 20.880, bases on probity principle, in force 2016

**Public Purchases Regulation**
Law N° 19.886, regulates public acquisitions, in force 2003

**Transparency Law**
Law N° 20.285, access to public information, in force 2009

**Lobby Regulation**
Law N° 20.730, regulates lobbying activity, in force 2014

**Public Integrity Agenda (Transparency 2.0)**
Currently in process. Improves current regulation

**Active Transparency from Government**
Liability of public services to deliver relevant information
CAIGG – What we do

**LOBBY REGULATION**
Law N° 20.730, regulates lobbying activity, in force 2014

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**PUBLIC INTEGRITY AGENDA (TRANSPARENCY 2.0)**
Currently in process. Improve the actual regulation
Identify quality information available on the State's web platforms (public sources).

Audit tests identifying:
- Trends.
- Classifications and aggregation by conglomerates.
- Abnormal or exceptional situations.
- Search for specific information.
- Contrast data from different public sources.

Obtaining quality information not available to citizens, directly from State entities.

Verify and contrast the information obtained from the web platforms of the State, with the information obtained directly.

Altogether, making contributions into the anti corruption system, in Government and public sector.

Challenges
Opportunities

- Contributing to the entities to improve the quality of the information, and fight corruption.
- Obtaining software, to be used by the internal auditors of the Network.
- Training and improving competences in the Network, for auditing purposes.
- Formulating technical documents to audit the information generation processes.
- Optimizing searches and systems available to CAIGG.
Working altogether...