IMO Activities to Enhance Maritime Security

Submitted by: IMO
IMO Activities to Enhance Maritime Security

Captain Hartmut G. Hesse
Senior Deputy Director,
Maritime Safety Division
International Maritime Organization
Hhesse@imo.org
www.imo.org

Special Measures to Enhance Maritime Security

SOLAS Chapter XI-2 & ISPS Code
Entered into force on 1 July 2004
On 1 July 2004 applied to 147 States
Since 30 September 2004 apply to 153 States
As of 17 March 2005 will apply to 155 States
SOLAS Chapter XI-2 and ISPS Code

What do they establish?
An international framework through which ships and port facilities can co-operate to detect and deter acts which threaten security in the maritime transport sector.

What is addressed?
• The use a ship as a weapon;
• The use a ship as a means for transporting either persons intending to cause, or their means to cause a security incident;
• The use of a ship in lawful trade for the purpose of financing terrorist activities.

Application
(Regulation XI-2/2)

Chapter XI-2 applies to:
1 the following types of ships engaged on international voyages:
   • passenger ships, including high-speed passenger craft;
   • cargo ships, including high-speed craft, of 500 gross tonnage and upwards; and
   • mobile offshore drilling units; and

2 port facilities serving such ships engaged on international voyages.
Status of implementation with respect to port facilities (as on 31 January 2004)

Contracting Governments: 153 States
Landlocked States: 13 (2)
States submitting Information: 134 (87.58 %)
States reporting port facilities: 132 (92.66 %*)
States reporting approved PFSPs: 132 (92.66 %*)
Declared port facilities: 9,685
Port facilities with approved PFSPs: 9,476 (97.07 %)

* adjusted to take account of landlocked States
Communication of Information
Regulation XI-2/13

ISPS Code Database

www.imo.org

www2.imo.org/ISPS Code
Implementation difficulties for the Secretariat

- Lack of experts for training, assessment & advisory missions
- Limited funds for these TC activities towards 1 July 2004
- Setting up the ISPS Code Database in terms of sheer volume

Implementation difficulties for the Secretariat

- Late or no submission of information by Contacting Governments in accordance with
  - SOLAS regulation XI-2/13 (contact details, incorrect or unclear data, etc)
  - SOLAS regulation XI-2/9 on Control and compliance measures
Implementation difficulties for Contracting Governments & industry

- Lack or shortage of
  - time
  - funds
  - expertise of relevant personnel
  - experience in security applications
  - training tools particularly for ports

Implementation difficulties for Contracting Governments & industry

Lack of
- assessment tools
- guidance on harmonized interpretation
- guidance on control/compliance measures
- security policy
- co-ordination on the national level

Number of ministries/agencies involved
## TECHNICAL CO-OPERATION ACTIVITIES
### April 2002 - December 2004

<table>
<thead>
<tr>
<th>Activities</th>
<th>2002</th>
<th>2003</th>
<th>2004</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regional &amp; sub-regional training courses</td>
<td>8</td>
<td>8</td>
<td>2</td>
<td>18</td>
</tr>
<tr>
<td>National training courses</td>
<td>16</td>
<td>35</td>
<td></td>
<td>51</td>
</tr>
<tr>
<td>Country missions</td>
<td>3</td>
<td>8</td>
<td>21</td>
<td>32</td>
</tr>
<tr>
<td>People trained</td>
<td>364</td>
<td>1,013</td>
<td>2,323</td>
<td>3,700</td>
</tr>
</tbody>
</table>

## National Courses & Advisory Missions
### April 2002 - December 2004 (executed)

<table>
<thead>
<tr>
<th>Regions</th>
<th>Recipient Countries</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Africa</td>
<td>Benin, Cape Verde, Cameroon, Comoros, Congo, Cote d'Ivoire, Eritrea, Ethiopia, Gabon, Ghana, Guinea, Kenya, Liberia, Libya, Madagascar, Mauritius, Nigeria, Senegal, Seychelles, Sierra Leone, South Africa, Tanzania, Togo</td>
<td>23</td>
</tr>
<tr>
<td>Arab</td>
<td>Jordan, Oman, Sudan, Tunisia,</td>
<td>4</td>
</tr>
<tr>
<td>Asia &amp; Pacific Islands</td>
<td>Cambodia, China, Indonesia, Malaysia, Pakistan, Philippines, Sri Lanka Thailand, Viet Nam,</td>
<td>9</td>
</tr>
<tr>
<td>CIS/East Europe</td>
<td>Poland, Russia Federation</td>
<td>2</td>
</tr>
<tr>
<td>Latin America &amp; Caribbean</td>
<td>Barbados, Belize, Colombia, Dominica, Ecuador, Guyana, Honduras, Nicaragua, St. Lucia, St. Kitts and Nevis, St. Vincent &amp; the Grenadines, St. Lucia, Surinam, Trinidad &amp; Tobago, Venezuela</td>
<td>15</td>
</tr>
</tbody>
</table>
Other TC Activities Delivered or Being Implemented

- Conversion of Model Course to “e-learning” format
- train-the-trainers programme (since July 2004)
- Development of training video/CD-ROM on port security in collaboration with e.g. IAPH, ILO, etc.

Recent Developments (MSC 79)

- Guidance on the submission of security-related information prior to the entry of a ship into port
- Interim guidance on voluntary self-assessment by SOLAS contracting Governments and port facilities
- Guidance on the implementation of SOLAS chapter XI-2 and the ISPS Code
- Reminder of the obligation to notify flag States when exercising control and compliance measures
Issues for future discussion

- Long range identification and tracking
- Guidelines on the authorization of RSOs
- Guidelines on the performance of verifications under the ISPS Code
- Guidelines on the qualifications and training for verifications under the ISPS Code
- Code for the investigation of security incidents
- Guidance on control and compliance measures to enhance maritime security
- Guidance on voluntary self-assessment of the implementation of the special measures to enhance maritime security

Issues for future discussion

- Guidance on the interpretation and implementation of the special measures to enhance maritime security
- Amdts to SOLAS XI-2 and of the ISPS Code on changes resulting from the adoption of amendments to SUA 1988
- Model agreement on sharing and exchange of security-related information
- Model agreement on bilateral or regional cooperation on security-related matters
- Amdts to the STCW Convention and Code
- Revision of the safe manning principles
- Monitoring of implementation status
Shipping lanes of strategic importance and significance

- Secretary-General’s concerns on keeping shipping lanes of strategic importance and significance safe and open to international maritime traffic and thereby ensuring the uninterrupted flow of traffic there-through
- Council requested to continue work on the issue in collaboration with parties concerned
- endorsed the anticipated meeting in Jakarta in July 2005 to address maritime safety, security and environmental protection in the Malacca and Singapore Straits

Co-operation IMO/ILO

ILO/IMO Code of practice on security in ports
Seafarers Identity Documents (Revised) Convention (No. 185)
Co-operation IMO / WCO

Memorandum of Understanding (MoU)
- Integrity of the multi-modal transport chain
- Container examination
- Container sealing
- Ship/port interface
- Exchange of information
- Project activities
- Reciprocal representation

World Customs Organization (WCO)

A package of Security measures developed, includes:

1. International Supply Chain Management Guidelines (ISCM Guidelines)

2. High Level Guidelines for Co-operative Arrangements between WCO Members and the private sector to increase supply chain security


The end objective is to prevent

The voyage has just begun
Thank you