

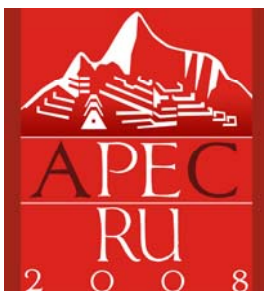


**Asia-Pacific
Economic Cooperation**

2008/SCCP/SWWG/006

Other Government Department (OGD) Single Window Initiative

Purpose: Information
Submitted by: Canada



**Single Window Working Group Meeting
Singapore
28-30 January 2008**

OTHER GOVERNMENT DEPARTMENT (OGD) SINGLE WINDOW INITIATIVE



Canada Border
Services Agency

Agence des services
frontaliers du Canada

Canada



**APEC: Sharing Responsibilities in
the International Supply Chain**

January 28, 2008



Who We Are – Canada Border Services Agency

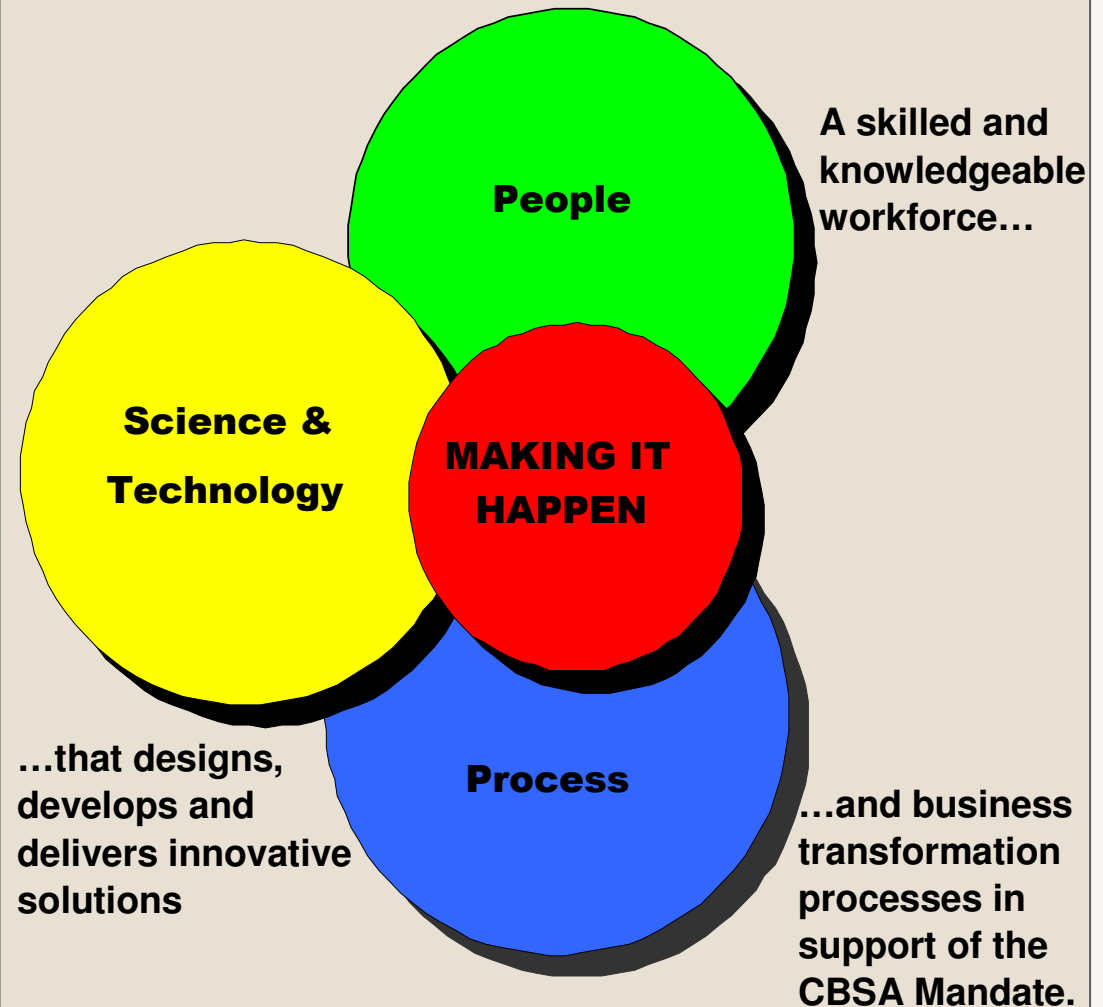


- **Our mission is to ensure the security and prosperity of Canada.**
- **Canada Border Services Agency (CBSA) administers legislation that governs the admissibility of people and goods into and out of Canada.**
- **CBSA is committed to promoting Canadian business by administering trade legislation and trade agreements to meet Canada's international obligations.**
- **In order to fulfill our responsibilities, CBSA employs a program of responsible enforcement.**

Who We Are – Innovation Science & Technology



Innovation for the Future Delivering on the CBSA Mandate



OGD Single Window Initiative – Description



- **A joint initiative between CBSA and OGDs to receive advance commercial information electronically, relating to the safety and security of shipments crossing the border.**
- **Positions CBSA to be the single point of contact for reporting import or cargo data from the trade community.**
- **Expands EDI reporting to OGD programs as part of CBSA objective to replace paper-based reporting with electronic reporting.**
- **Current EDI cargo and/or EDI Release messages will be expanded to include OGD program admissibility requirements for security, health & safety.**

OGD Single Window Initiative – Vision



- **Consolidate CBSA and OGD information requirements and collect once, electronically, from trade chain participants.**
- **Eliminate paper based reporting.**
- **Build electronic interfaces to share information with OGDs, based on the model preferred by OGDs.**
- **Ensure harmonized processes and data requirements with WCO Data Model and Security Prosperity Partnership initiatives.**

OGD Single Window Initiative – **Mandate**



To promote and preserve the following principles:

- Move from paper based to EDI based information transfer.
- Encourage OGDs to re-engineer their business by reviewing existing processes and data element requirements.
- Harmonize approaches with US CBP and WCO.
- Provide flexible solutions to meet OGD needs.
- Wherever possible, offer 7 / 24 service for EDI/OGD release requests.
- Allow national release where importers and brokers can transmit to any automated Customs office in Canada.

OGD Single Window Initiative – Current State



Currently five Departments and Agencies enjoy the benefits of the Single Window:

- **Canadian Food Inspection Agency**
- **Natural Resources Canada**
- **Statistics Canada**
- **Transport Canada**
- **Foreign Affairs and International Trade Canada**

OGD Single Window Initiative – Approach

Industry and OGD Consultations

- Industry: BCCC OGD Sub-committee
- OGDs: Establish working groups

Admissibility OGD Matrix

- Develop and apply criteria to select priority OGD programs

Document OGD Data Requirements Inventory

- Harmonize with WCO data set

Multi-phased Approach – deliver short term successes to build momentum

Comprehensive OGD Delivery Strategy

OGD Single Window Initiative – Needs Assessment

Current State

Overview of Existing Business:

- Legislative / regulatory authority
- Import/export processes with a focus on interaction with CBSA
- Current technical environment

Opportunities for improvement

Future State

OGD Single Window adaptation:

- Enhanced import / export processes
- Electronic communications with CBSA
- Improved pre-arrival, release, and post-release data collection
- Potential for enhanced compliance, analysis and program administration

Readiness

High-Level Readiness Assessment:

- Applicable process modifications
- Technology
- Legislation / Regulations
- Data Collection
- Timeframe (<6 mos, <2.5 yrs, >2.5 yrs)

Integrated Project Plan

OGD Single Window Initiative – **Interface Models**

Active Admissibility Decision

For those OGDs who would like to be actively engaged in determining the admissibility of goods.

- CBSA collects the information electronically, prior to the arrival of goods
- Establish electronic interface to share transactional information with OGDs
- OGD receives data electronically and make an admissibility recommendation within established timeframes
- OGD relays recommendation electronically to CBSA
- CBSA refers shipments of interest to OGDs

Integrated Targeting

For those OGDs who would prefer CBSA incorporate OGD risk parameters into our risk assessment system and have CBSA make the admissibility decision on their behalf.

- CBSA will collect the information electronically, prior to the arrival of goods
- CBSA will perform automated risk assessment having incorporated OGD risk parameters into its system and make admissibility decision on behalf of OGD
- CBSA will refer shipments of interest to OGDs

OGD Single Window Initiative – **Interface Models**

Active Permit Verification

For those OGDs who have permits, certificates or licences to be verified.

- CBSA will collect the information electronically, prior to the arrival of goods
- CBSA will automatically match electronic permit or certificate to shipment information and provide for automatic verification by CBSA or OGD
- CBSA will refer shipments of interest to OGDs

Passive Mailbox

For those OGDs who do not have requirements to be administered at the border, but require information on a post clearance basis.

- CBSA will collect the information electronically
- CBSA will provide transactional, periodic or summary data electronically to OGD through various means

OGD Single Window Initiative – **Interface Models**

Shared Systems

CBSA will grant OGDs restricted access to CBSA systems based on tailored user profiles. OGDs can then recommend an admissibility decision within CBSA systems.

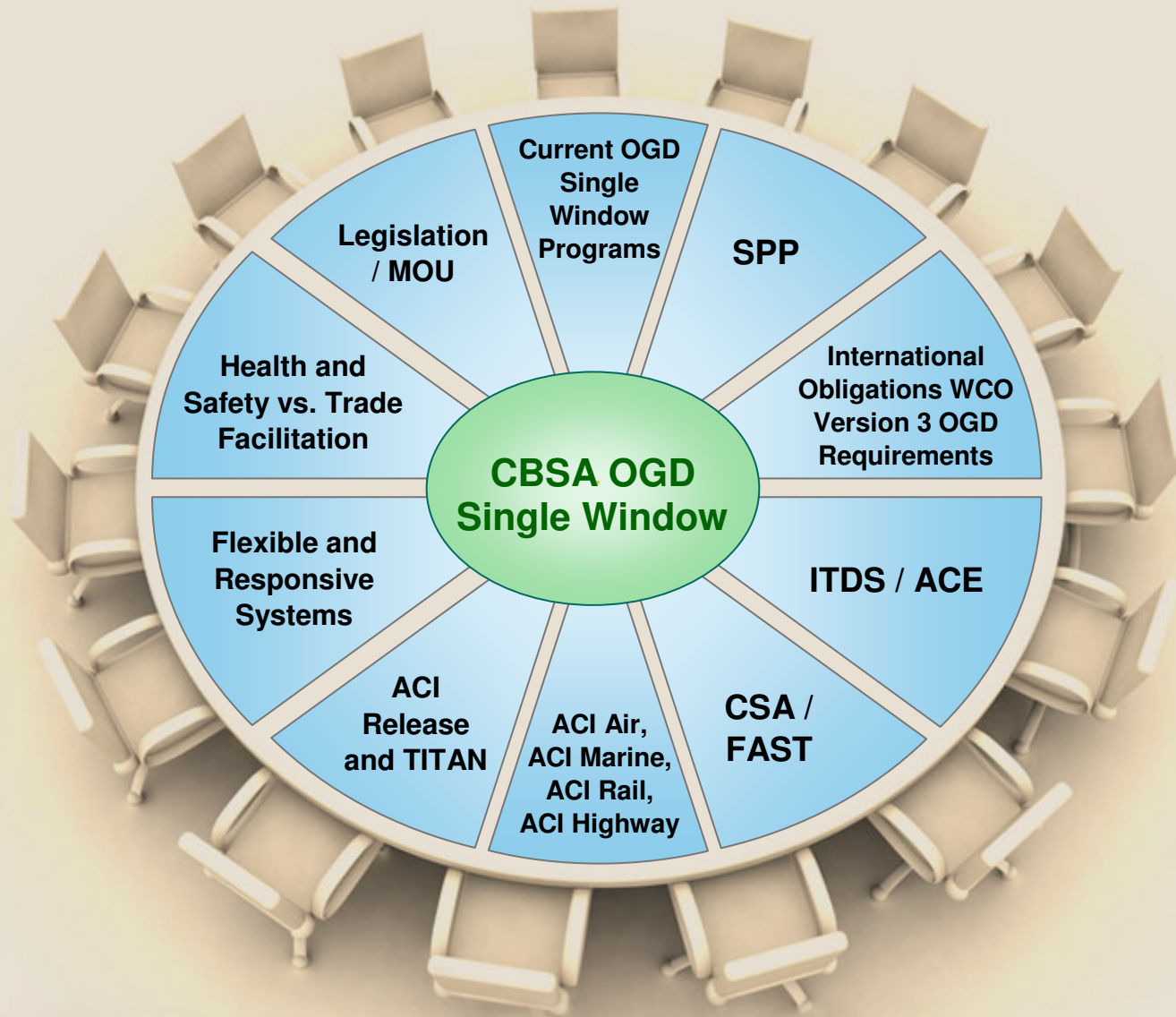
- OGD officers would have tailored user profiles to access CBSA systems
- OGD will review data and make an admissibility recommendation within established timeframes
- CBSA will make a final admissibility decision and will refer shipments of interest to OGDs for appropriate follow-up action.

Pre-Approval Programs

Allows trade chain partners to register with OGDs and CBSA, which will facilitate the expedited release of goods.

- Trade chain partners will register with OGDs and CBSA
- CBSA will evaluate OGD program criteria and incorporate their information into CBSA pre-approval programs
- Eligibility requirements will be established on a per-program basis allowing for individualized pre-approval programs
- The pre-approval model also allows Canada to move toward the international concept of the AEO

OGD Single Window Initiative End State – Comprehensive Strategy



OGD Single Window Initiative End State – **Impact and Benefits**

Industry

Reduce costs of compliance:

- ❑ Elimination of paper
- ❑ Elimination of duplicate reporting
- The ability to conduct communication with Government of Canada in a fully electronic environment
- Streamlined clearance of goods with integration of CBSA and OGD requirements






CBSA

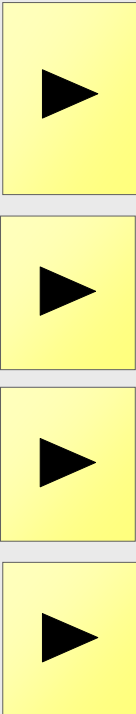
Enhanced security:

- ❑ Automated risk assessment of goods prior to the arrival to Canada
- ❑ Shipments of interest can be held at first point of arrival
- Process efficiencies through automation, elimination of paper
- Improved compliance and more consistent information, providing for greater analysis and intelligence-based targeting



OGD Single Window Initiative – Eliminations and Enhancements

ELIMINATED

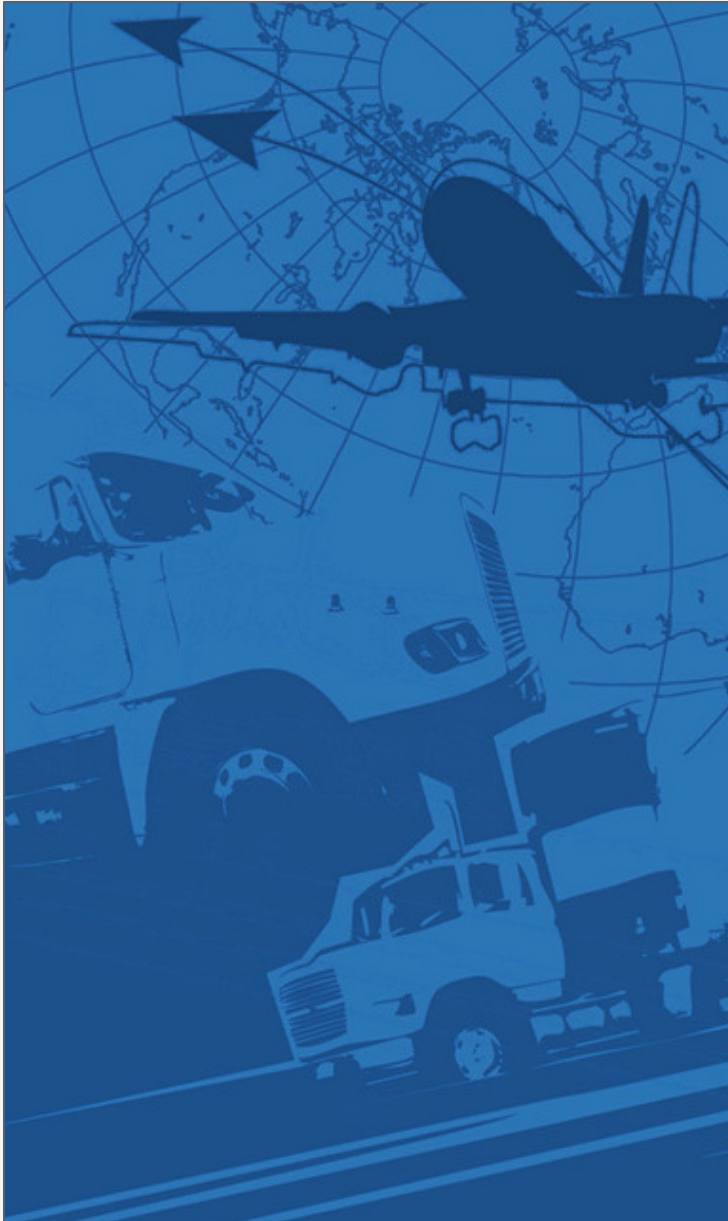
-  Information required compiled from several uncoordinated, and expensive, paper-based approaches often resulting in rework
-  Canada-based processes that were not consistent with international initiatives
-  Trade community faced with several requirements for reporting import or cargo data
-  Several platforms for sharing trade related data may have compromised border security



ENHANCEMENTS

-  Consolidates CBSA and OGD information requirements and collects once, electronically, from trade chain participants
-  Harmonized processes and data requirements consistent with WCO and SPP initiatives
-  The CBSA will be the single point of contact for reporting import or cargo data
-  A common data platform promotes safe and secure borders

Past, Present, Future



Where we were:

- breaking new ground.

Where we are:

- creating and maintaining partnerships.
- establishing a global standard.

Where we are going:

- transforming border practices to turn information into intelligence.

Canada Border Services Agency



Our experience has enabled us to provide efficient and effective integrated border service solutions that ensure the security and prosperity of Canadians.

Contacts



For further information, please contact:

Eric Sunstrum

Manager, Single Window Initiative

Eric.Sunstrum@cbsa-asfc.gc.ca

+1 613-957-8634