

2016/SOM1/CTI/046

Agenda Item: 13.3

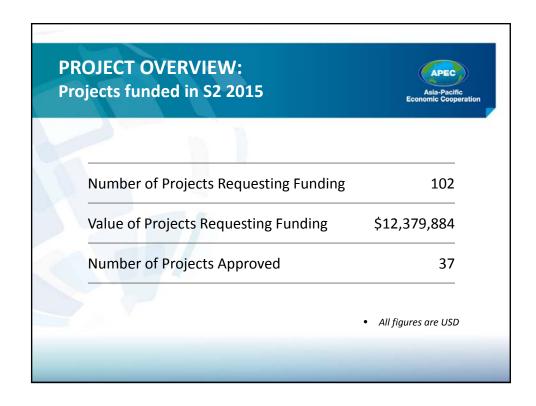
Project Management Update

Purpose: Information Submitted by: APEC Secretariat



First Committee on Trade and Investment Meeting Lima, Peru 28-29 February 2016





PROJECT OVERVIEW: Fund Availability – S1 2016		ASIA-Pacific Economic Cooperation
Project Fund	Session 1, 2016 (US \$)	economic cooperation
General Project Account (GPA)	\$975,000	To Note:
		Figures are
Trade & Investment Liberalization and Facilitation Account (TILF)	\$440,000	estimates as of 25 January 2016
	 Updated estimates 	
APEC Support Fund (ASF) General Fund	\$1,085,000	are based on contributions
ASF Sub-funds		received, funds
(i) Human Security	\$298,000	dispersed and monies returned; • Figures and availability for 2016 will also be available on the APEC website • All Figures are in USD
(ii) Health & Emergency Preparedness	\$128,000	
(iii) Energy Efficiency	\$2,052,000	
(iv) Supply Chain Connectivity	\$769,000	
(v) Mining	\$449,000	
(vi) Free Trade of Asia/Pacific & Global Value Chains	\$588,000	
(vii) Innovative Devlpmnt, Econ Reform & Growth	\$392,000	
(viii) Connectivity	\$294,000	
Total GPA+TILF+ASF	\$7,470,000	

IMPORTANT RECENT INFORMATION:



Important information since BMC 2, 2015 includes:

Additional sub-funds:

- •Three new sub-funds have been established by China. These focus on:
 - FTAAP and GVCs
 - Innovative Development, Economic Reform and Growth (IERG)
 - Connectivity

Criteria for Accessing Sub-Funds

•Specific eligibility criteria and application processes for all sub-funds are available on the APEC Website:

http://www.apec.org/Projects/Funding-Sources.aspx

APPROVAL PROCESS: 2016– Dates and Deadlines



Session 1: Concept Notes are due March 7.

For approved Concept Notes, Session 1, Proposals will be due:

- May 12 for May 25 approval; or
- May 23 for June 10 approval; or
- June 3 for June 30 approval

(Deadlines expired midnight, Singapore time. All dates and details subject to change)

Dates are available on the APEC website: http://www.apec.org/Projects/Applying-for-Funds.aspx)

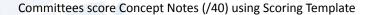
Sessions 2, 2016: Commences 22 June.

APPROVAL PROCESS: How are projects approved?



Forum endorses and scores Concept Notes (/20) using Scoring Template





Concept notes matched to available funding, starting with the highest scores, BMC approves these Concept Notes for development into Project Proposals

Project Proposals assessed for quality by Forum and APEC Secretariat

Proposals recommended to BMC (projects >US\$200,000 require SOM approval)

APPROVAL PROCESS: In the Case of CTI Projects



- Internal deadline for concept note Submission 7 March.
 (Those submitted after 7 March will not be taken into account.)
- Endorsement and scoring of Concept Notes (By 17 March).
- CTI members to decide eligibility of concept notes for the following ASF subfunds (18 March).
 - FTAAP and GVCs
 - Supply Chain Connectivity (Including ALL CNs applying for these funds (CTI + Other Fora))
- The deadline for the submission of final concept notes to PMU is 31 March

PROJECT REQUIREMENTS: Monitoring and Completion Reports



Monitoring Reports (MRs):

Monitoring reports are due every 6 months on 1 February and 1 August

Completion Reports (CRs):

Completion reports are due within 2 months of the project's completion

Requirements:

 Report templates can be found at http://www.apec.org/Projects/Forms-and-Resources.aspx

Failure to submit MRs or CRs:

- POs with outstanding MRs are ineligible to submit new Concept Notes or have any proposal approved until all overdue reports are submitted.
- Any APEC forum whose project has not submitted a CR is ineligible to submit new Concept Notes or have any full proposal approved until all overdue reports are submitted.

CONCEPT NOTES: Key advice



> Follow all guidelines:

- · Meet the submission deadline
- 3-page maximum
- At least 2 co-sponsoring economies (6 for HRD)
- Link project to 2016 APEC Funding Criteria
- · Ensure project end date is by December of the following year
- Use most current form, found on APEC website, project link
- Follow all guidelines in Guidebook
- Ensure your economy participates in the scoring of Concept Notes



PROJECT RESOURCES: Further assistance



Further assistance on projects can be found:

- Through the APEC Secretariat, via your Program Director or the Project Management Unit:
 - Program Directors: http://www.apec.org/ContactUs.aspx?t=Secretariat
 - PMU Program Director, Andrew R Lloyd: arl14@apec.org
- In the Guidebook on APEC Projects and Proposal Development Materials:
 - http://www.apec.org/Projects/Forms-and-Resources.aspx
- On the AIMP Project Database site:
 - http://member.aimp.apec.org/pdb_sites/default.aspx
- Through your BMC or fora delegate