



**Asia-Pacific  
Economic Cooperation**

---

**2017/SCSC/FSCF/EM/011**

Day 1 Session 4

## **Codex Principles for Food Import and Export Inspection and Certification**

Submitted by: Australia



**First Expert Meeting on Trade Facilitation Through  
an APEC Framework on Food Safety  
Modernisation**

**Ha Noi, Viet Nam**

**15-17 November 2017**



Australian Government  
Department of Agriculture  
and Water Resources

# Codex - Principles for Food Import and Export Inspection and Certification



Barbara Cooper

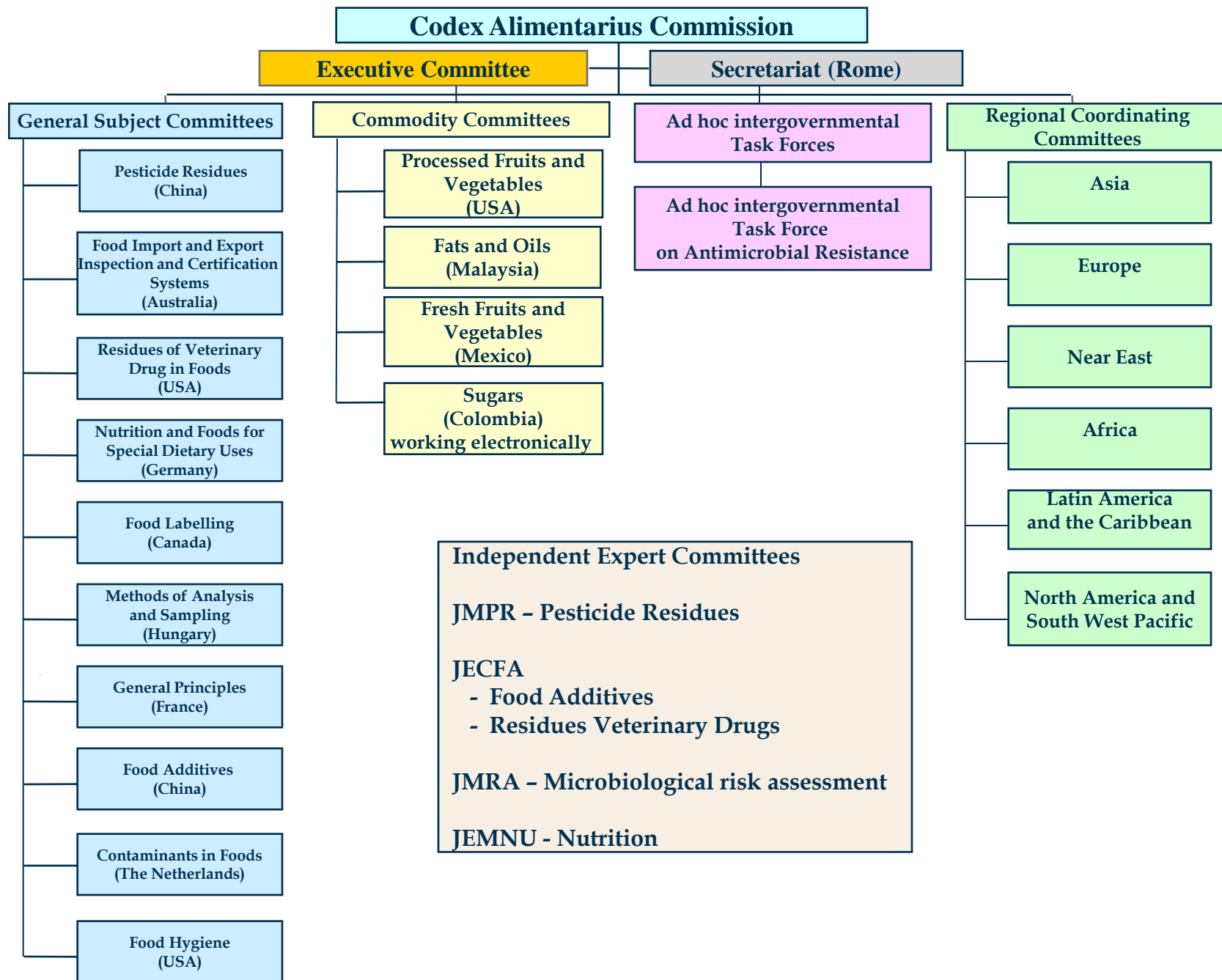
November 2017

---

# Codex Alimentarius Commission

- Established in 1963 and currently has 180 member countries and 200 observers
- Operates under the auspice of UN FAO and WHO
- elaborates and publishes standards, guidelines and recommendations for food or related to food
- objective of protecting the health of consumers and ensuring fair practices in the international food trade





# Codex Standards Development

The Codex Alimentarius Commission approves proposals for new work using a set of established criteria:

- Consumer protection from the point of view of health, food safety and fair practices in food trade
- Apparent or potential impediments to international trade
- Volume of production and consumption in individual countries and pattern of trade between countries
- International or regional market potential
- Amenability of the commodity to standardization
- Work already undertaken by other international organisation's

# Procedure for the Elaboration of Codex Standards

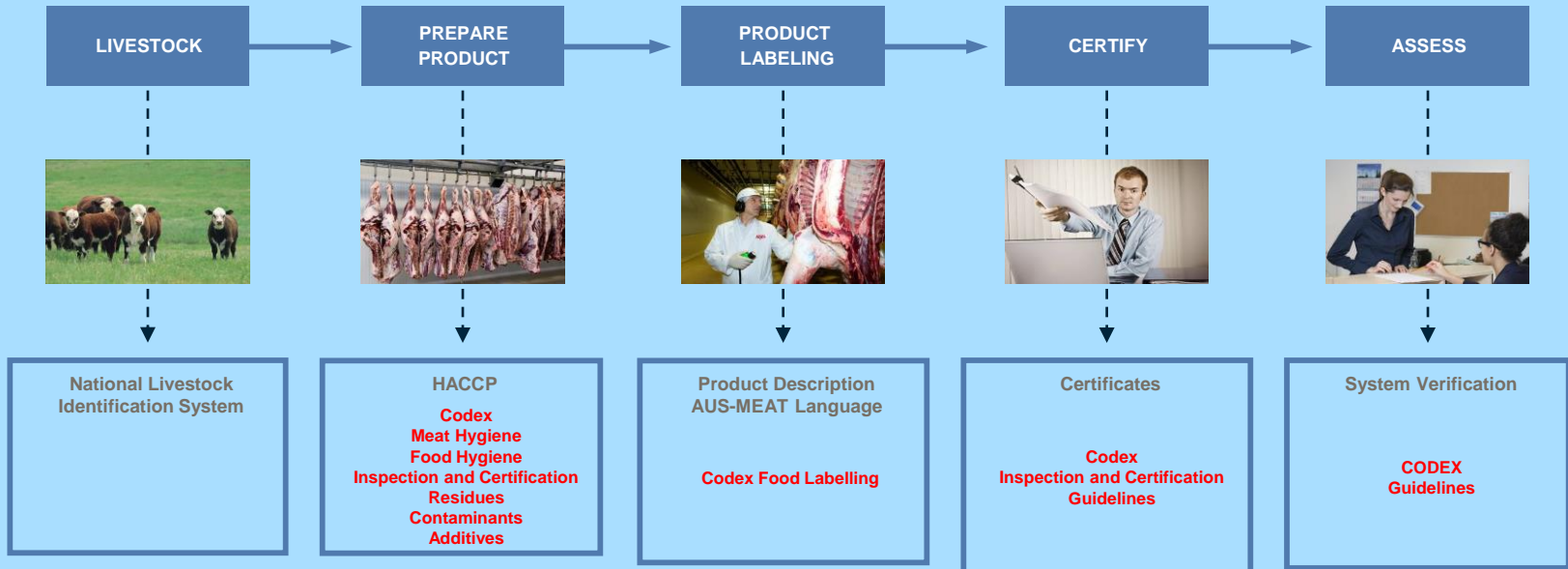
- New Work proposed by member or Committee
- Approved by the Codex Alimentarius Commission
- Committee appoints working group or member to draft standard
- Draft standard circulated for comments
- Draft standard and comments discussed at Committee
- Progress to further re-draft and another round of comments
- Adopted by the Codex Alimentarius Commission when standard finalised and agreed on by Committee

# Why Australia Participates in Codex

- To improve outcomes for food safety and public health policies
- To enhance opportunities for Australian agricultural and food industries, particularly in respect of their trade interests
- To promote objective and science-based risk analysis to support public health and safety
- To influence the development of international standards so they are consistent with Australian standards
- To ensure the interests of Australian consumers, producers, processors and governments are taken into account when Codex standards are developed

# Australian Standards and Export Legislation

## RISK ASSESSMENT



## Traceability

Systems Recognition and Evaluation  
Third Party Assurance

Paperless Certification  
Food Authenticity & Integrity



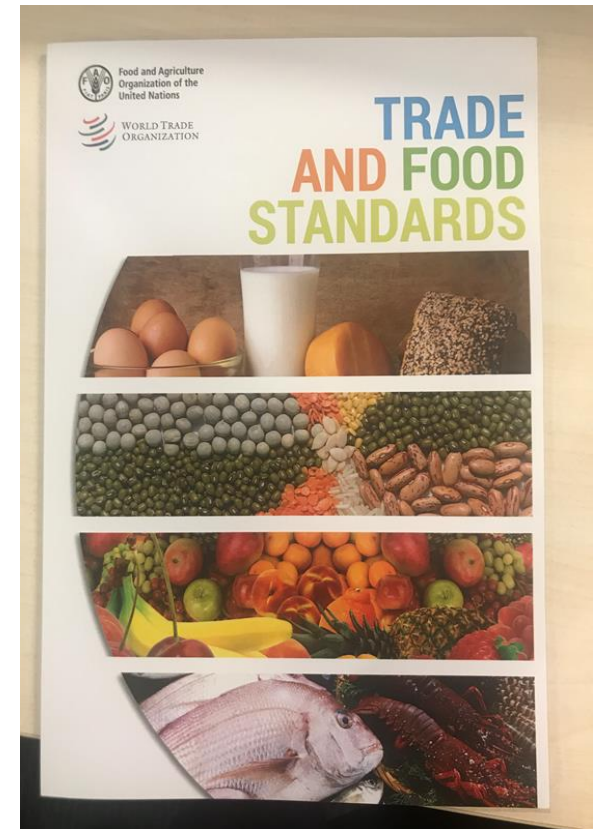
# **Principles for Food Import and Export Inspection and Certification (CCFICS)**

**What are they?**

**Why are they necessary?**

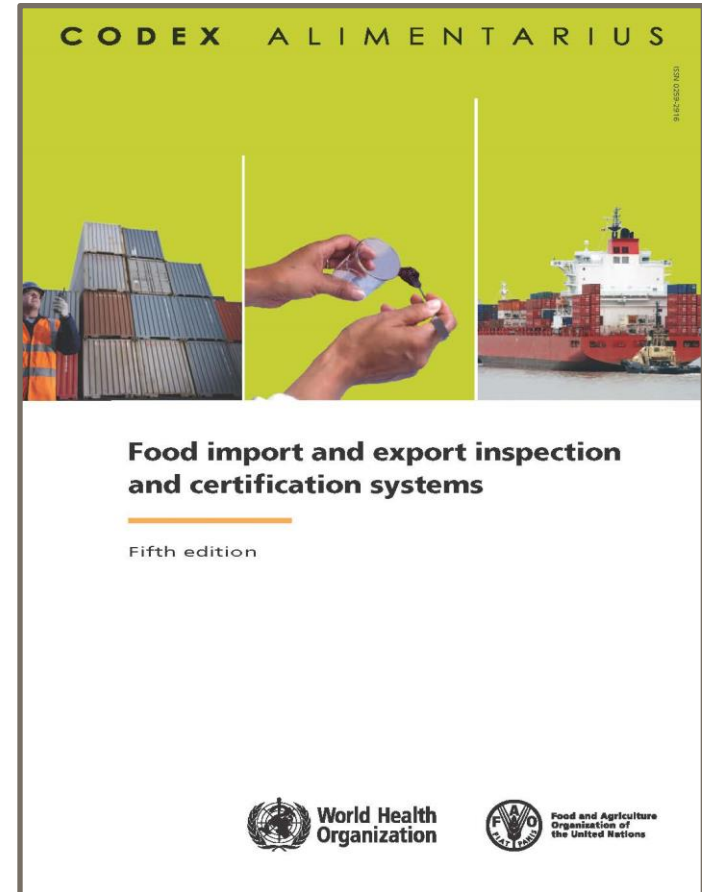
# Trade and Food Standards

- The confidence of consumers in the quality and safety of their food supply depends in part on their perception as to the effectiveness of food control measures
- A substantial part of the world trade in food depends upon the use of inspection and certification systems
- Food standards are fundamental to trade of food to ensure that consumers have confidence in the safety, quality and authenticity of the food they are consuming



# CAC/GL 20 -1995

- The Codex Principles for Food Import and Export Inspection and Certification were developed by CCFICS and adopted by CAC in 1995
- A set of principles to support official and unofficial recognized inspection and certification systems used as a means to of food control



# Inspection of Food

- Inspection of food may occur at any stage in the production and distribution process
- Inspection oversight of harvesting, processing, storage, transport, and other handling of product may be the most appropriate means of ensuring food safety
- Inspection systems may be focused on the foodstuffs themselves, on the procedures and facilities employed in the production and distribution chain, on the substance and materials which can be incorporated into or contaminate foodstuffs
- Inspection should be carried out at the most appropriate stages (e.g. control of refrigeration at every stage of the cold chain)
- For some requirements (e.g. those pertaining to product description) it may be possible to limit inspection to the distribution process and prior to final sale



# OVERALL

In both design and use, food inspection and certification systems should be governed by a number of principles which will ensure an optimal outcome consistent with consumer protection and facilitation of trade.

## CAC/GL 20-1995 Principles

- ✓ Fitness for Purpose
- ✓ Risk Assessment
- ✓ Non-discrimination
- ✓ Efficiency
- ✓ Harmonization
- ✓ Equivalence
- ✓ Transparency
- ✓ Special and Differential treatment
- ✓ Control and inspection procedure
- ✓ Certification validity

# **Principles and Guidelines for National Food Control Systems**

**What are they?**

**Why are they necessary?**

# National Food Control System

- The objective of a national food control system is to protect the health of consumers and ensure fair practices in the food trade
- An effective national food control system is a necessity for every nation in order to protect its consumers against foods that are contaminated, adulterated in ways injurious to health, or which are incorrectly packaged or labelled



# Principles and Guidelines

- The Codex Principles and Guidelines for National Food Control Systems were developed by CCFICS and adopted by the Codex Alimentarius Commission in 2013
- The principles and Guidelines are intended to provide practical guidance to assist national governments, and their competent authorities in the design, development, operation, evaluation and improvement of the national food control system
- It highlights the key principles and core elements of an efficient and effective food control system



# Principles and Guidelines

- The Principles and Guidelines are not intended that the guidance result in “one system” being appropriate to all circumstances. Rather, various approaches may be used, as appropriate to the national circumstances, to achieve an effective national food control system
- The national food control system should possess the capacity to undergo continuous improvement and include mechanisms to evaluate whether the system is able to achieve its objective
- Competent authorities should recognise that food control systems even if designed and structured differently may be capable of meeting the same objective

# OVERALL

A substantial part of the worldwide trade in food depends upon the use of inspection and certification systems. These systems may significantly impede international trade in foodstuffs, therefore it is desirable that the design and application of these systems reflect appropriate principles.

The principles and guidelines benefit countries by providing them with the necessary tools to ensure their national systems are consistent with international standards, provide consumers with an adequate level of protection and also encourage the application of other Codex standards.

*certification*

*SPS*

*traceability*

*Fair practices in food trade*

*Food safety*

# THANK YOU

